

A satellite image of the Tibetan Plateau, showing a vast, high-altitude region with a mix of brownish-yellow terrain, green valleys, and patches of white snow or ice. The plateau is surrounded by lower-lying areas with more green vegetation. The text is overlaid on the central part of the image.

# **Tibetan Anticyclone, tropospheric aerosols, and UTLS transport processes**

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W. K. Tao, M. Chin, Y. Cheng, Z. Li



# Outline

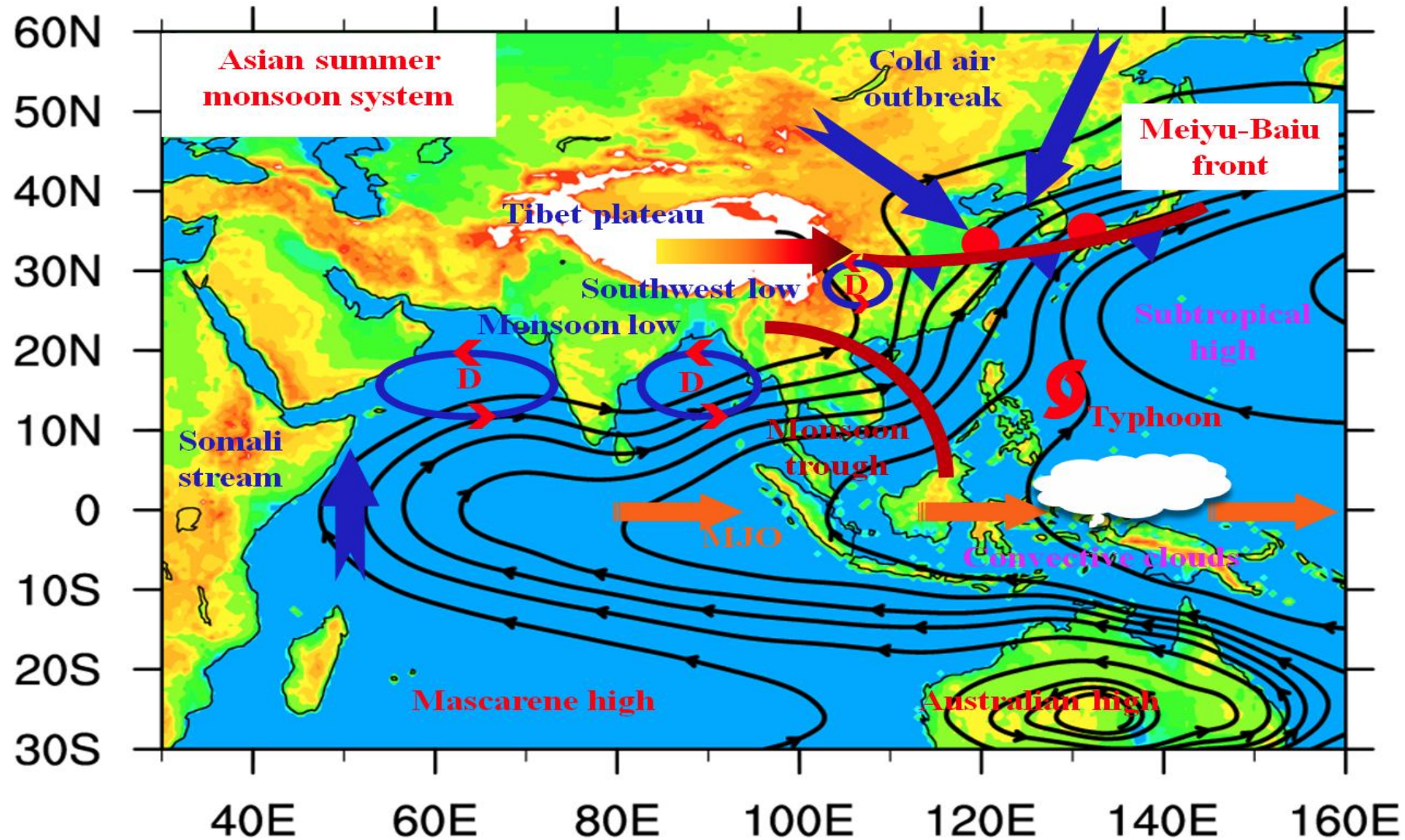
- **A monsoon-aerosol primer**
- **The “Elevated Heat Pump” (EHP) hypothesis**
- **Aerosols impacts on monsoon interannual and intraseasonal variability**
- **UTLS transport processes**

# The Aerosol-Monsoon Climate System

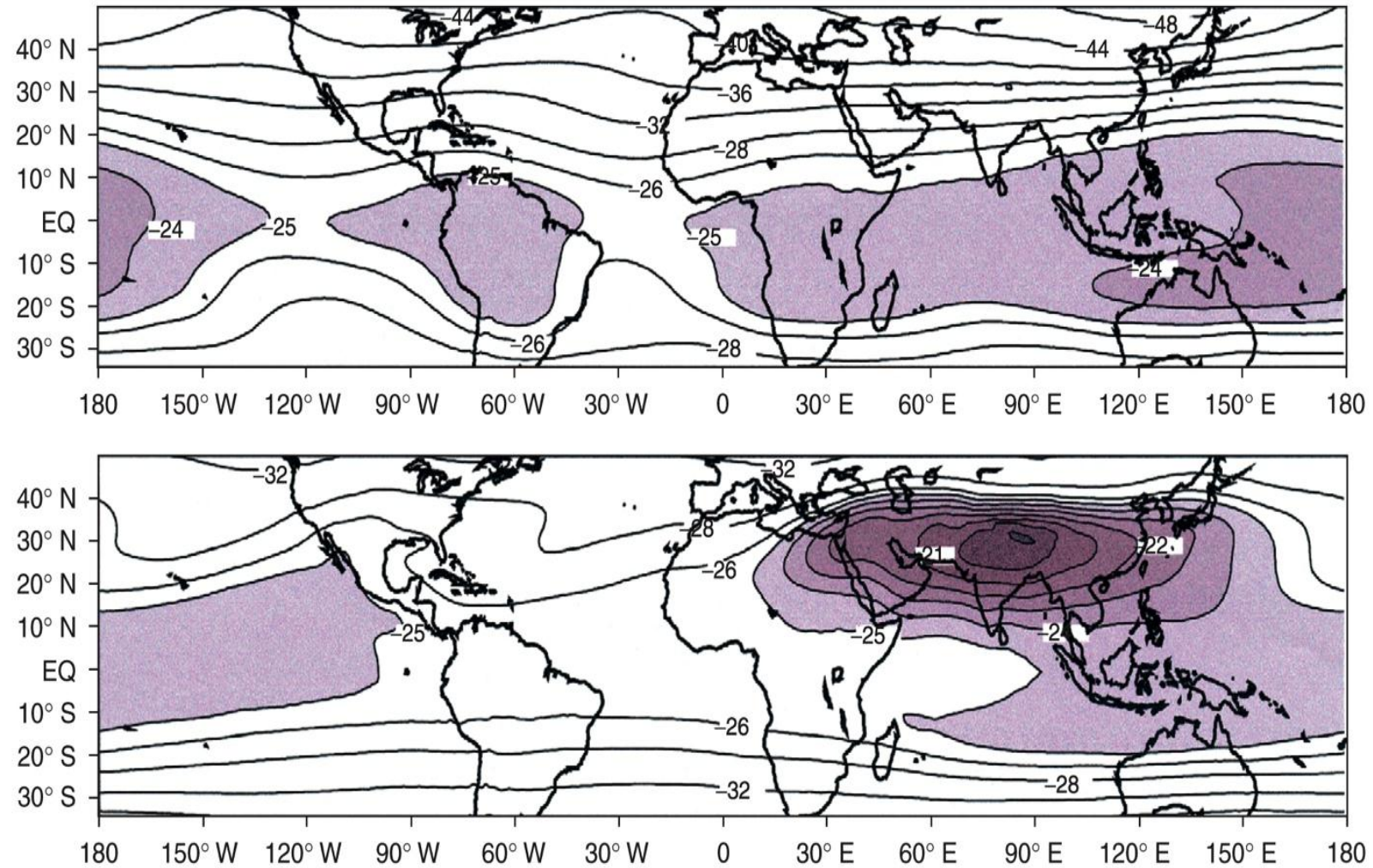
- Over 60% of world population live in the Asian monsoon regions
- Monsoon related droughts and floods, and aerosols are the two most serious environmental hazards in Asian monsoon regions,
- The monsoon water cycle is driven by atmospheric heating, through the dynamical interaction of wind, moisture, clouds and rainfall.
- Sea surface temperature, and land surface processes alter monsoon water cycle, through generation of surface heating gradients and atmospheric heat sources and sinks.
- Suspended particles (aerosol, clouds, precipitation) in the atmosphere regulate and interact with heat sources and sinks, and alter the monsoon water cycle



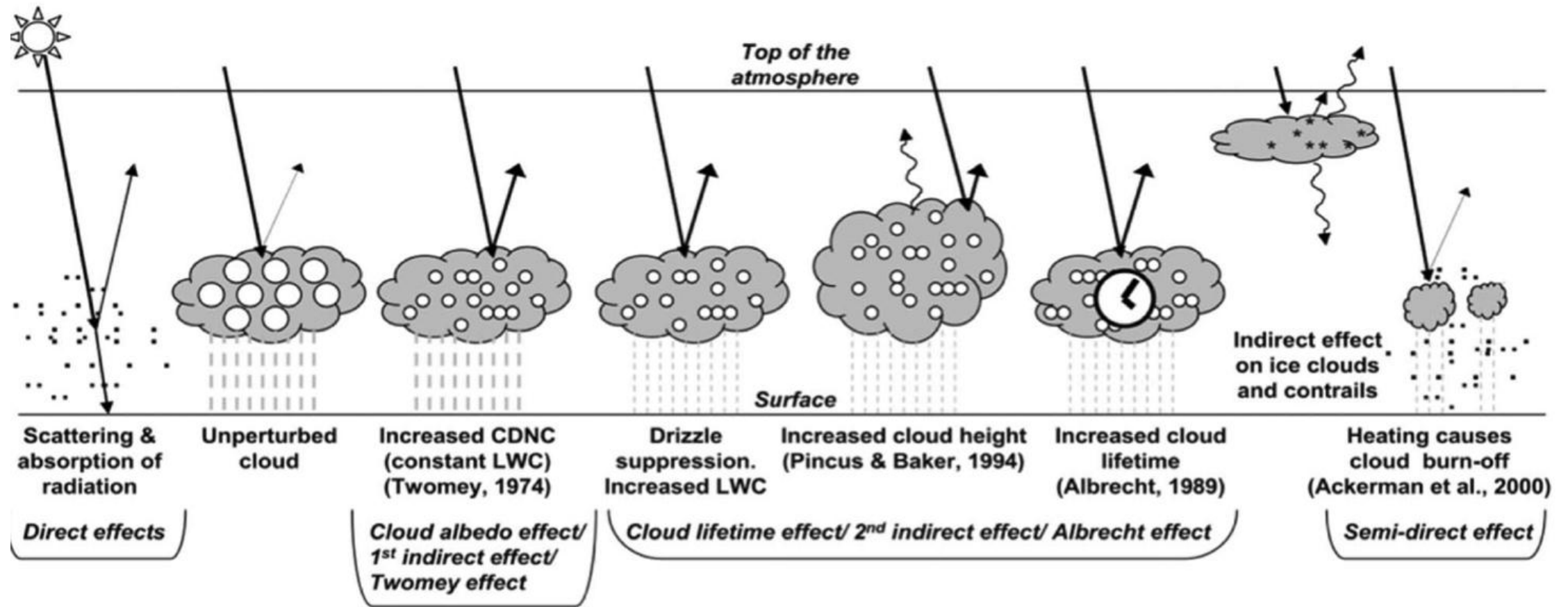
## Key features of the ASM (SAM, EAM)







**Figure 5** Mean temperature between 200 and 500 hPa for northern winter (January–February; upper panel) and northern summer (July–August; lower panel) at the height of the monsoon season (units: K). (Data source: Reanalyses from European Centre for Medium Range Weather Forecasts for 1979–93.)

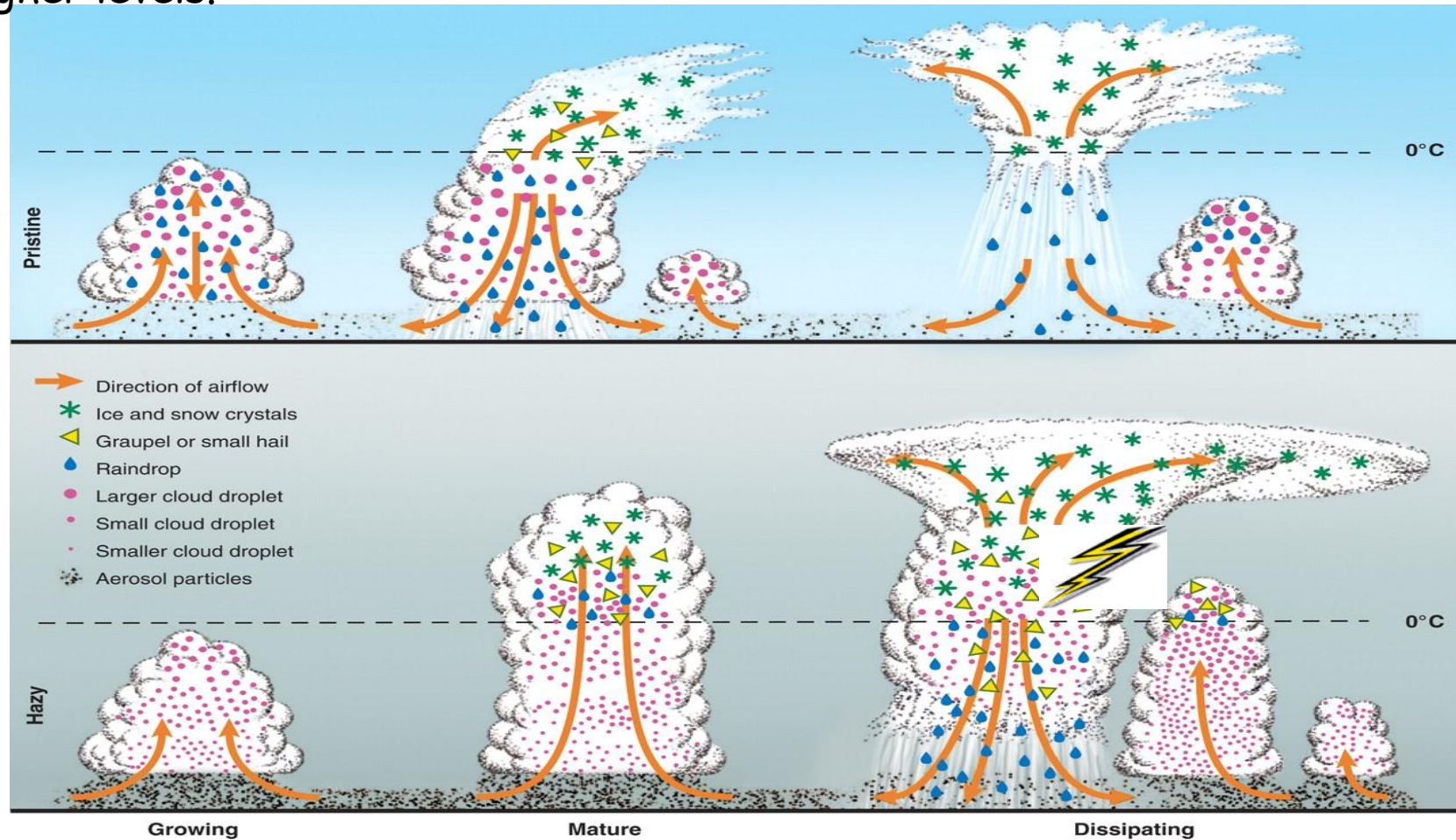


**Figure 7.** Various mechanisms proposed to explain how aerosols affect clouds and precipitation. Adapted from *Intergovernmental Panel on Climate Change (IPCC)* [2007].



## Aerosol indirect (microphysics) effect of "the 3<sup>rd</sup> kind":

Delayed warm rain, and prolonged cloud life time by aerosols in a monsoon (moisture-rich) environment invigorate deep convection, shifting latent heating to higher levels.



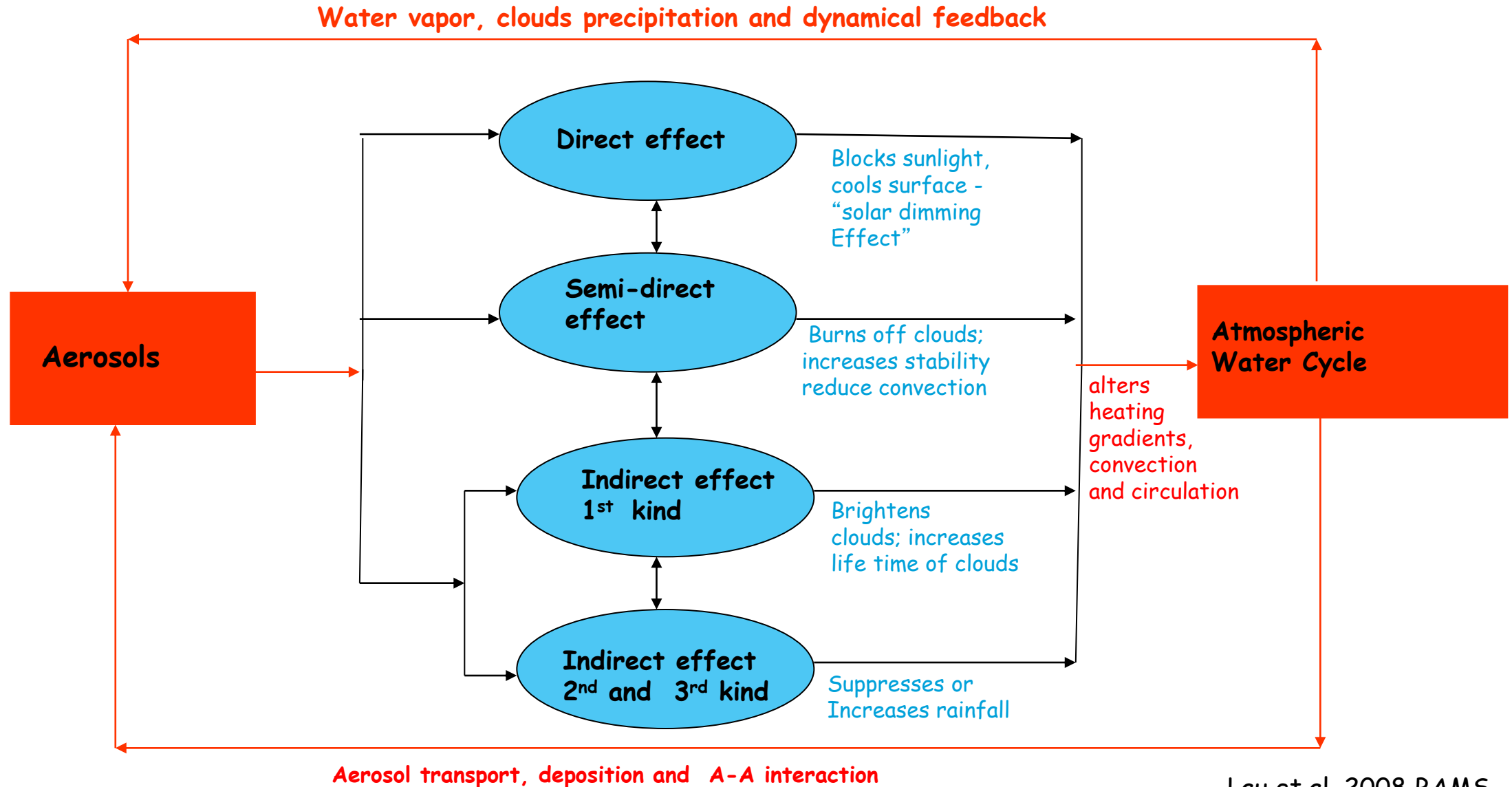
## Aerosol-monsoon water cycle interactions occur over a wide range of spatial and temporal scales

- Hourly-daily-to-intraseasonal: individual clouds and cloud clusters (< 1 -100 km)
- Seasonal-to-interannual: regional to continental (100 - 1000 km)
- Climate Change/Interdecadal : continental to global (>10,000 km)

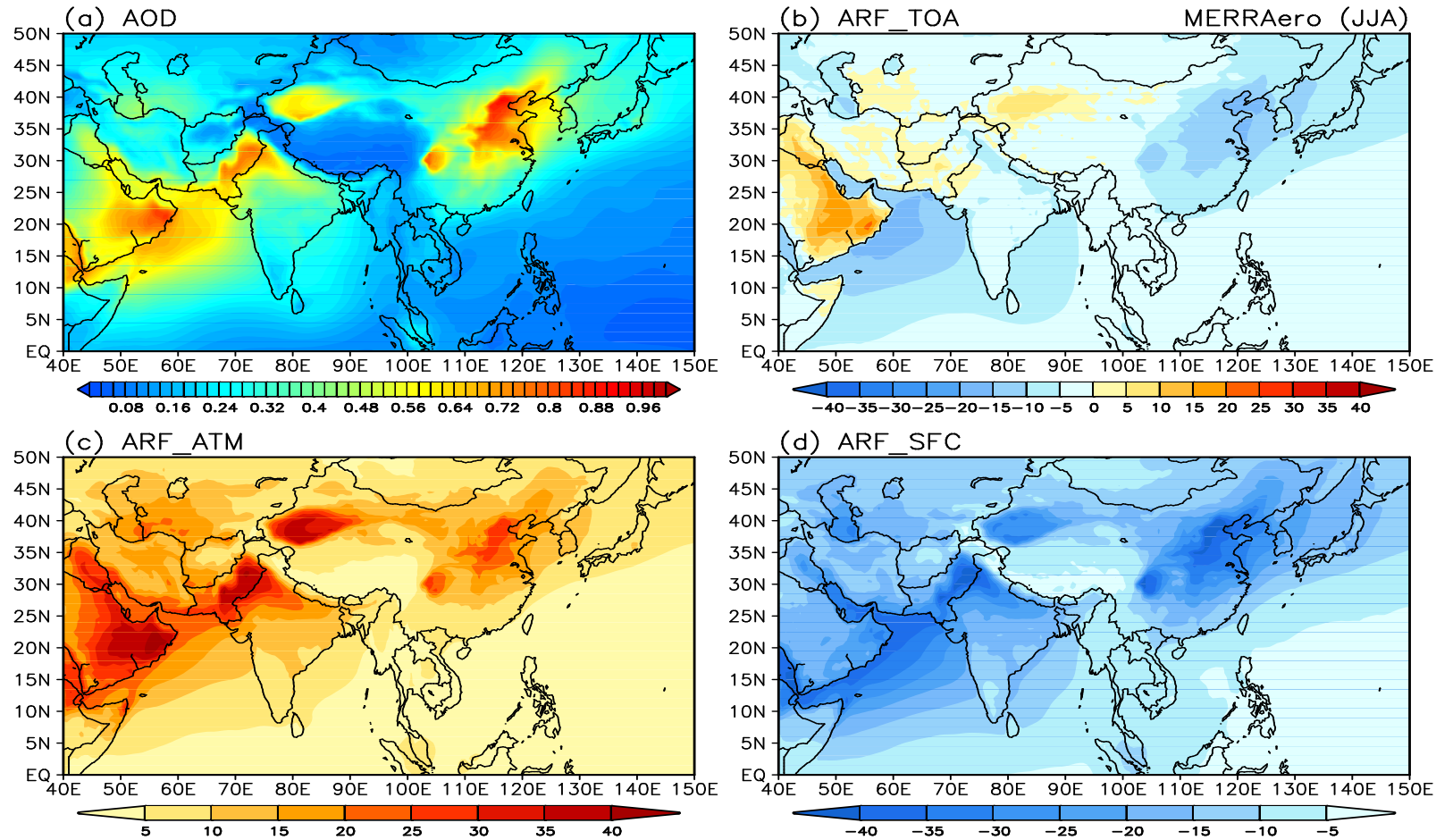


# Aerosol- atmospheric water cycle interactions

Local vs. Non-local effects



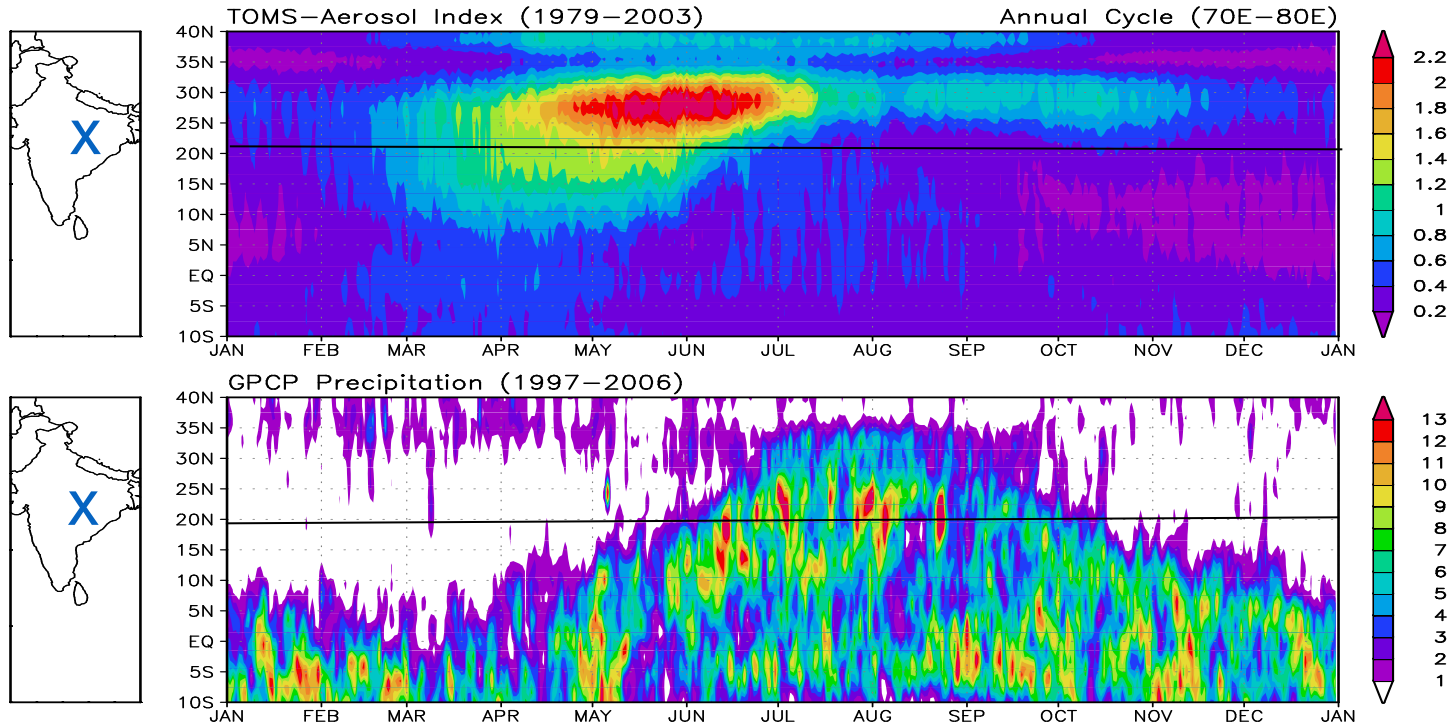
# Aerosol Radiative Forcing of the ASM



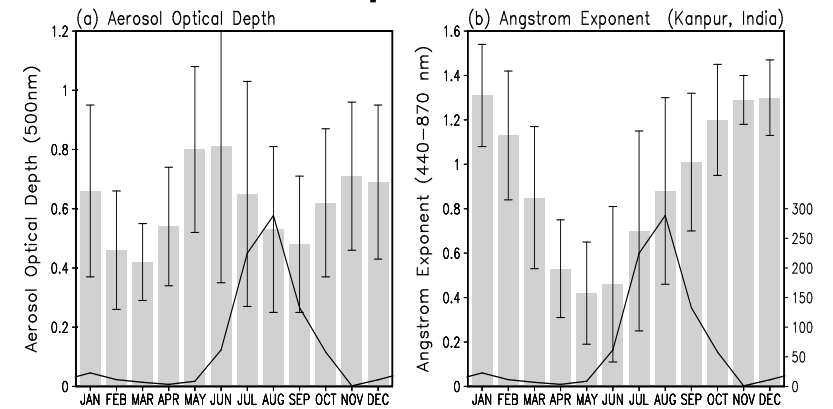
First order aerosol effects on monsoon: Increase atmospheric stability (semi-direct effect), decrease land-sea contrast → weakening of the monsoon,  
but regional dynamical feedback may further alter monsoon evolution...



# Strong seasonal locking of absorbing aerosol and rainfall distribution over central and northern India



## Kanpur, India



AOD

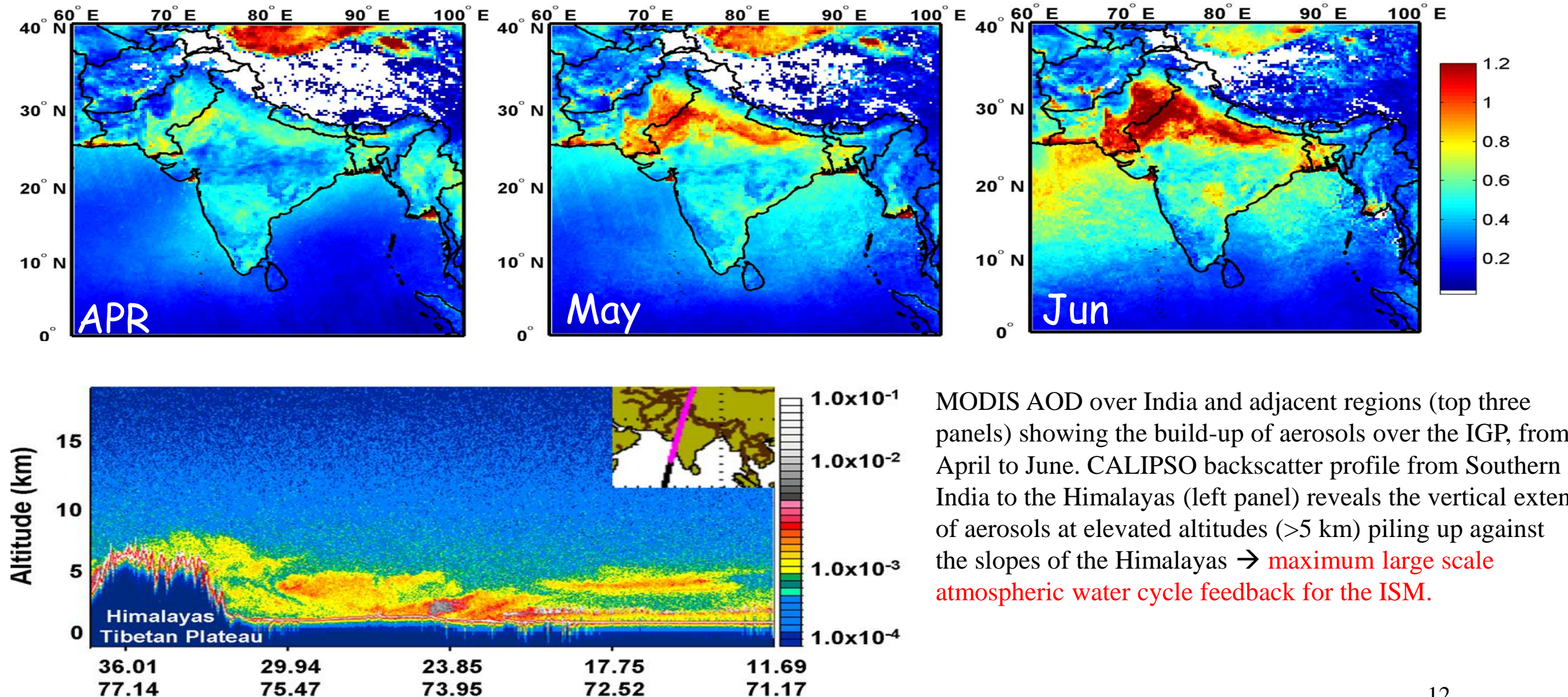
Angstr.  
exp.

Cli. Mean = Histogram  
Rainfall = solid curve

Reference:

Lau, K.-M., K.-M. Kim, C. N.-Y. Hsu, and R. P. Singh (2008), Seasonal co-variability of aerosol and precipitation over the Indian monsoon and adjacent deserts. GEWEX News, 18(1), 4-6.

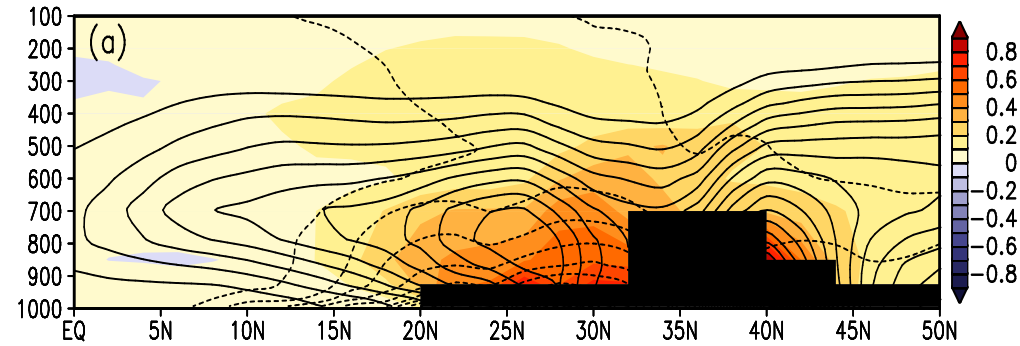
# Pre-monsoon aerosol build-up over the Himalayan-Gangetic Region



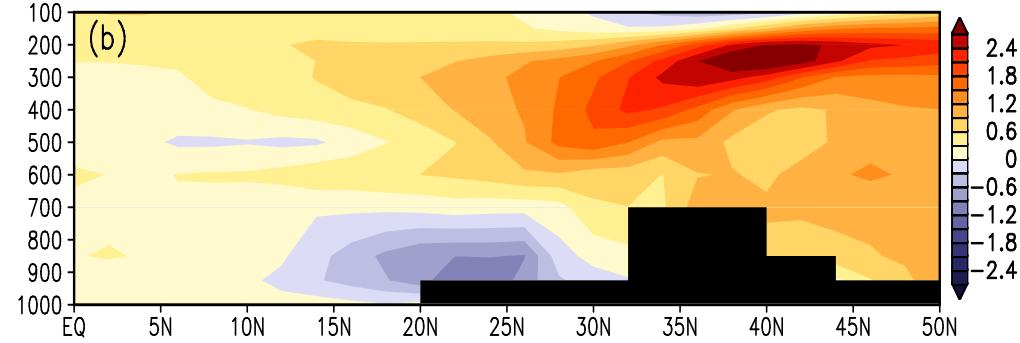


# Aerosol induced anomalies (May-June) from NASA GEOS5 GCM

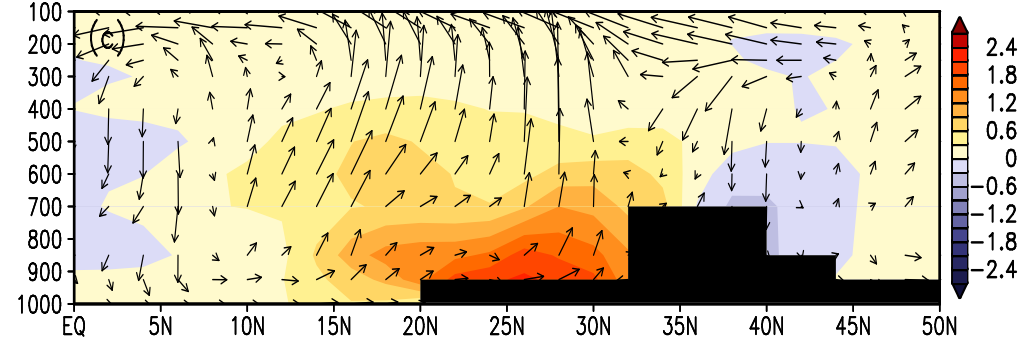
SW heating (color)  
Dust loading (solid)  
BC loading (dotted)



Temp. anomaly



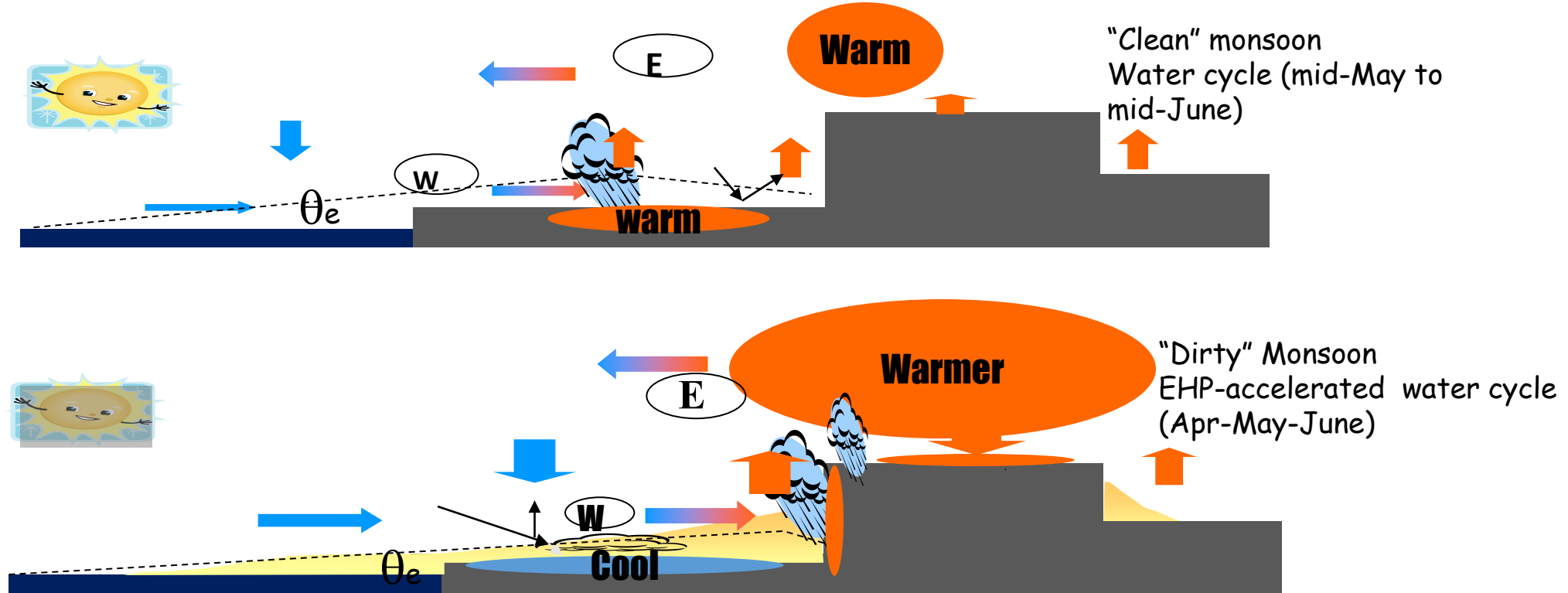
Moisture and wind  
anomalies



The **Elevated Heat Pump (EHP)** hypothesis (Lau et al. 2006, 2008, 2010):  
Latent heating feedback overcomes semi-direction effect, leading to  
**non-local** rainfall response away from the region of maximum aerosol loading.

# The Elevated Heat Pump (EHP) hypothesis

(Lau et al. 2006, Lau and Kim 2006, Lau et al 2008, 2010)



## EHP postulates:

- Warming and moistening of the upper troposphere over the Tibetan Plateau
- An advance of the rainy season in northern India/Nepal, Himalayas foothills in May-June
- The increased convection spreads from the foothills of the Himalayas to central India, resulting in an intensification of the Indian monsoon in June-July
- Subsequent reduction of monsoon rain in central India in July-August
- Enhanced snowmelt and rapid retreat of Himalayan glacier



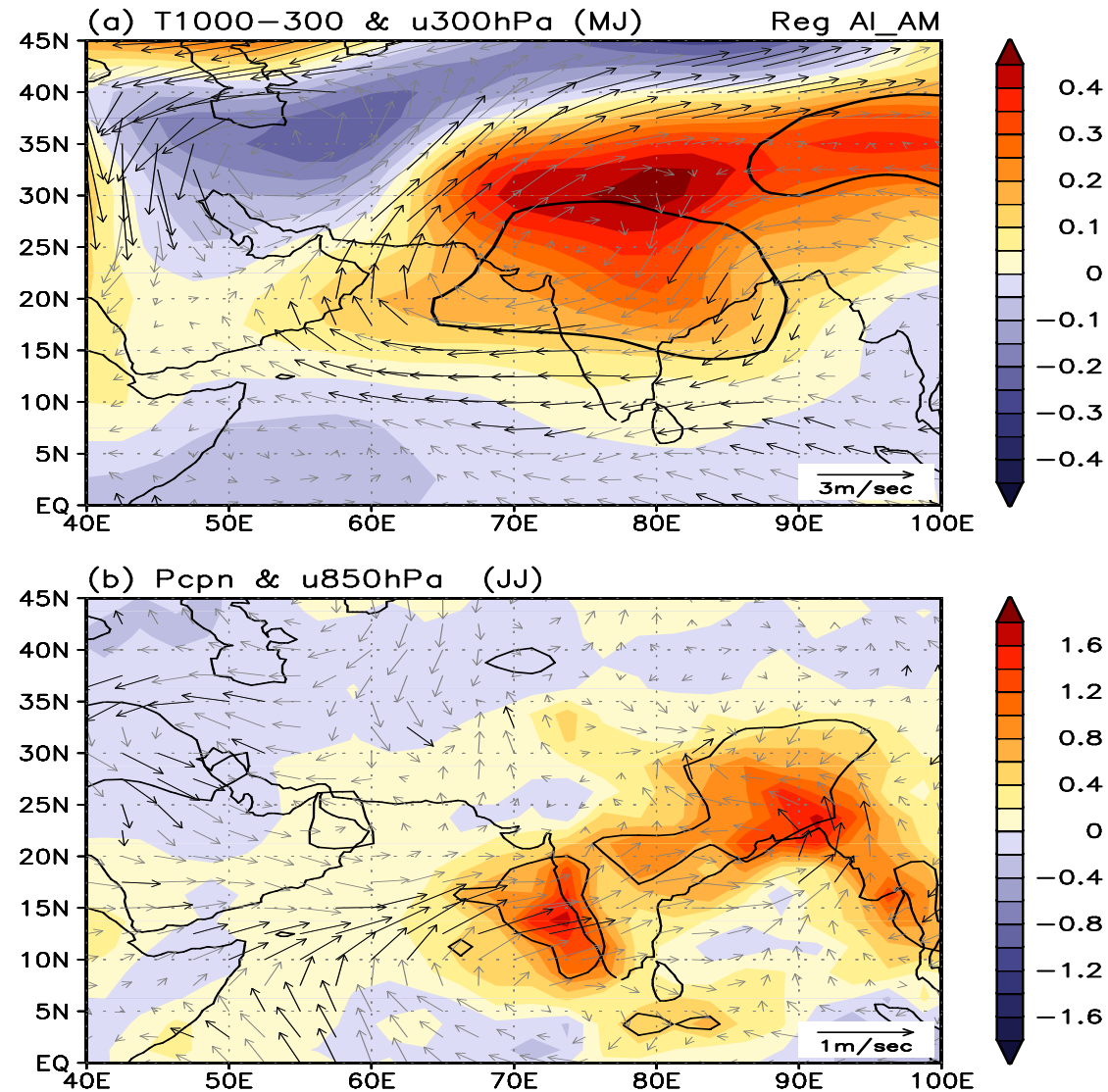
# Interannual Variability

Characteristic large scale pattern associated with EHP:

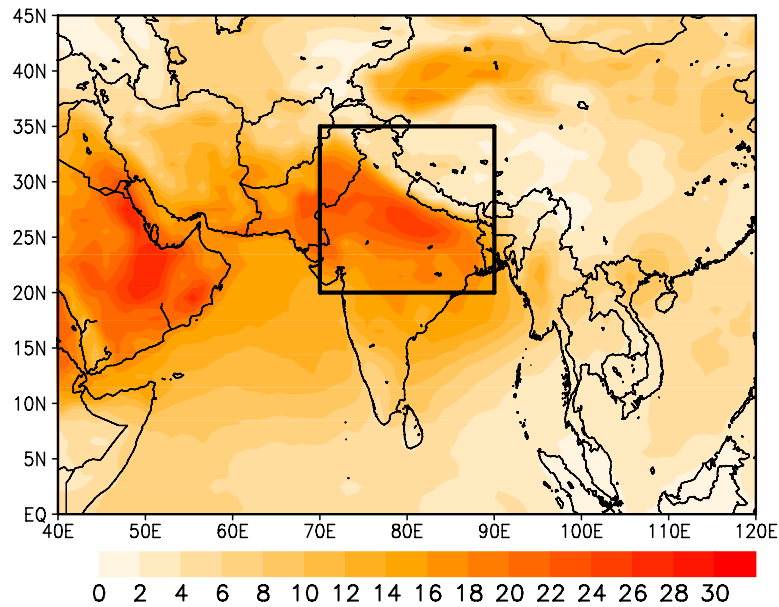
Enhanced tropospheric warming over the Tibetan Plateau and increased monsoon rainfall and winds in June-July, following major build-up of absorbing aerosol (dust and BC) over the Indo-Gangetic Plain in April-May

Data source: TOMS AI, GPCP, and NCEP re-analyses

Lau and Kim, 2006, *GRL*



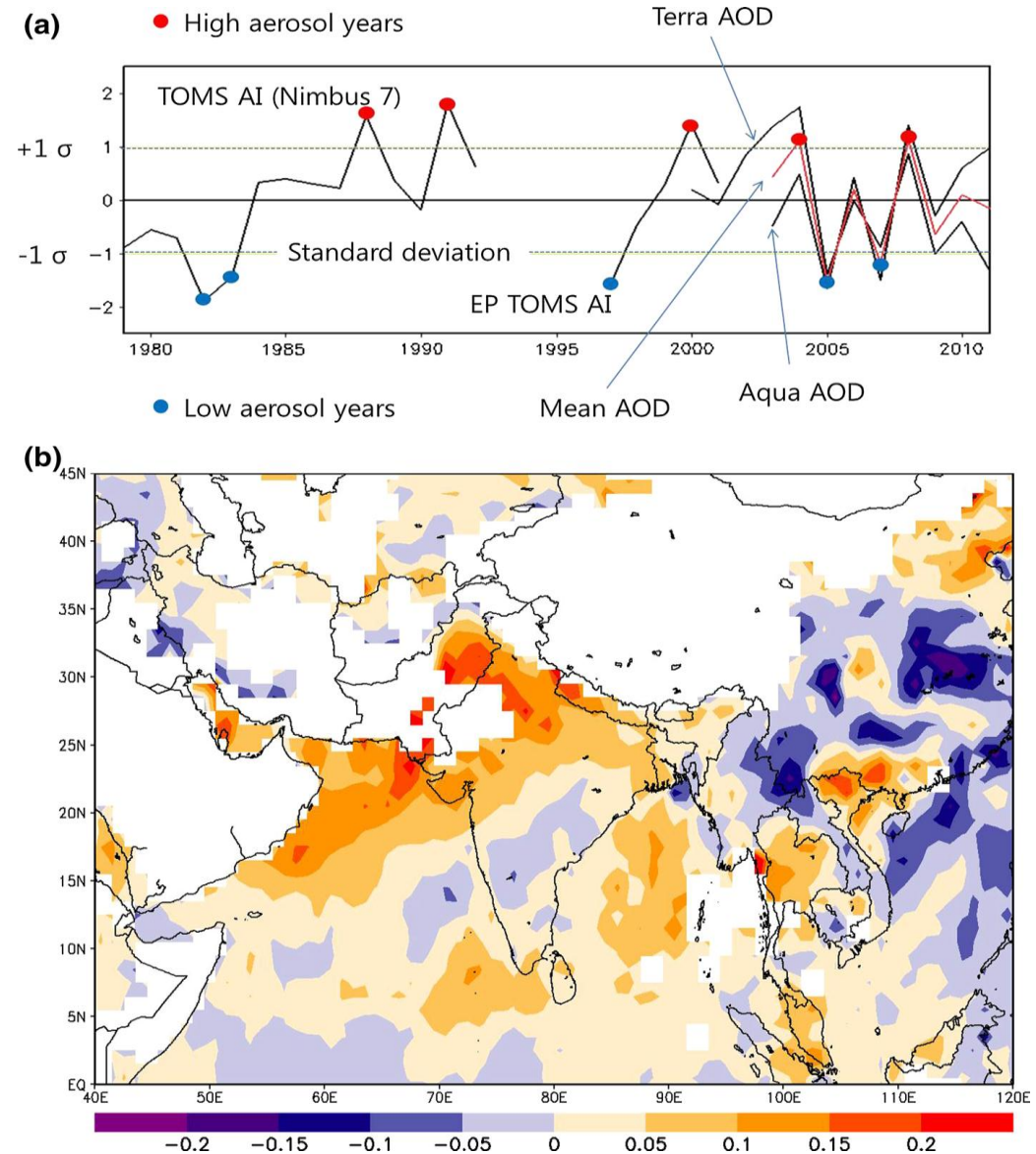
# Amplification of ENSO effects on ISM by dust aerosols



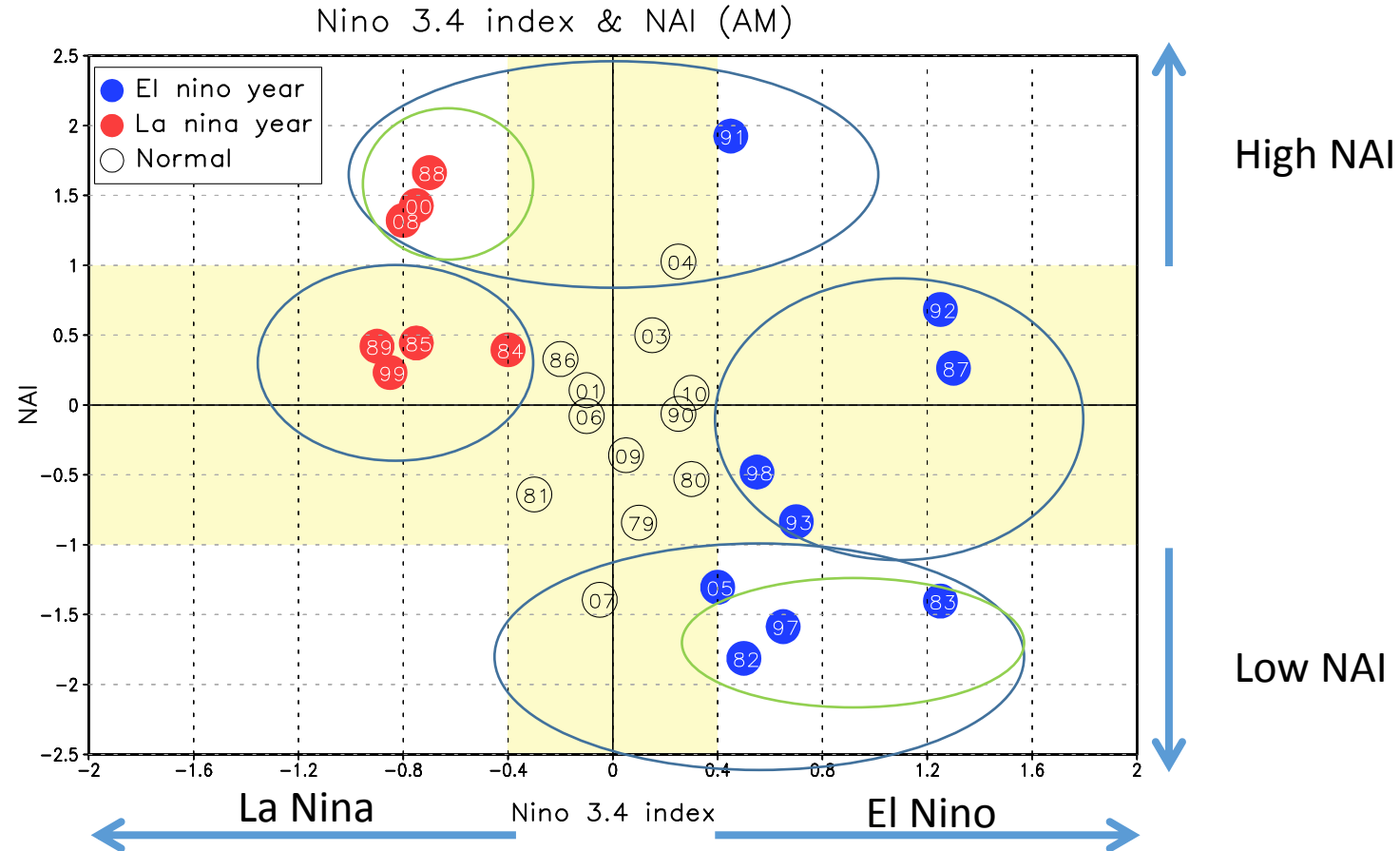
**April-May** mean aerosol index (AI)

(Kim et al. 2015)

- a) Normalized aerosol index (NAI)
- b) Composite difference of MODIS (Terra) AOD between high and low NAI years



## Scatter plots: NAI vs NINO3.4 (April-May)



High NAI: 1988, 1991, 2000, 2004, 2008

Low NAI: 1982, 1983, 1996, 2005, 2007

Pure El Nino: 1987, 1992, 1993, 1998

Pure La Nina: 1984, 1985, 1989, 1999

La Nina + High NAI: 1988, 2000, 2008

El Nino + Low NAI: 1982, 1983, 1997

EHP: High NAI *minus* Low NAI

PENSO: Pure La Nina *minus* Pure El Nino

Combined: La Nina + High NAI *minus*

El Nino + Low NAI

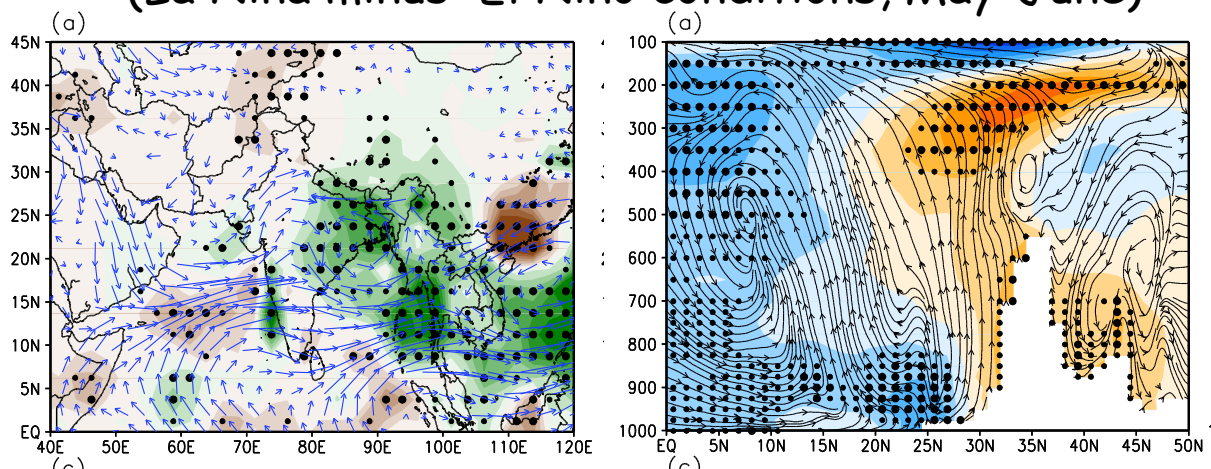
(Kim et al. 2015)



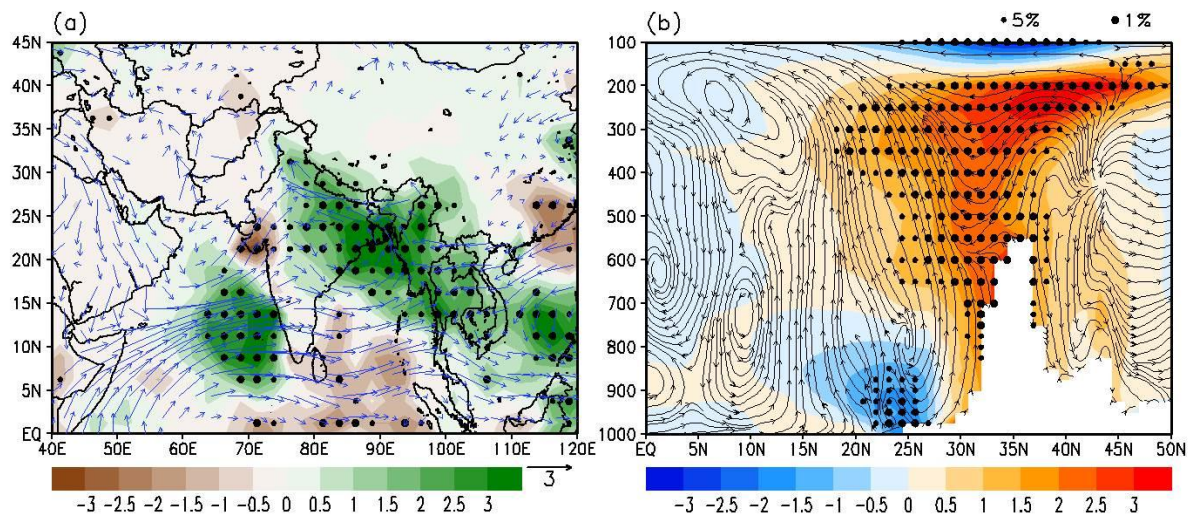
# EHP amplifies ENSO impacts on Indian Summer Monsoon

(La Nina minus El Nino conditions, May-June)

PENSO  
(La-Nina)

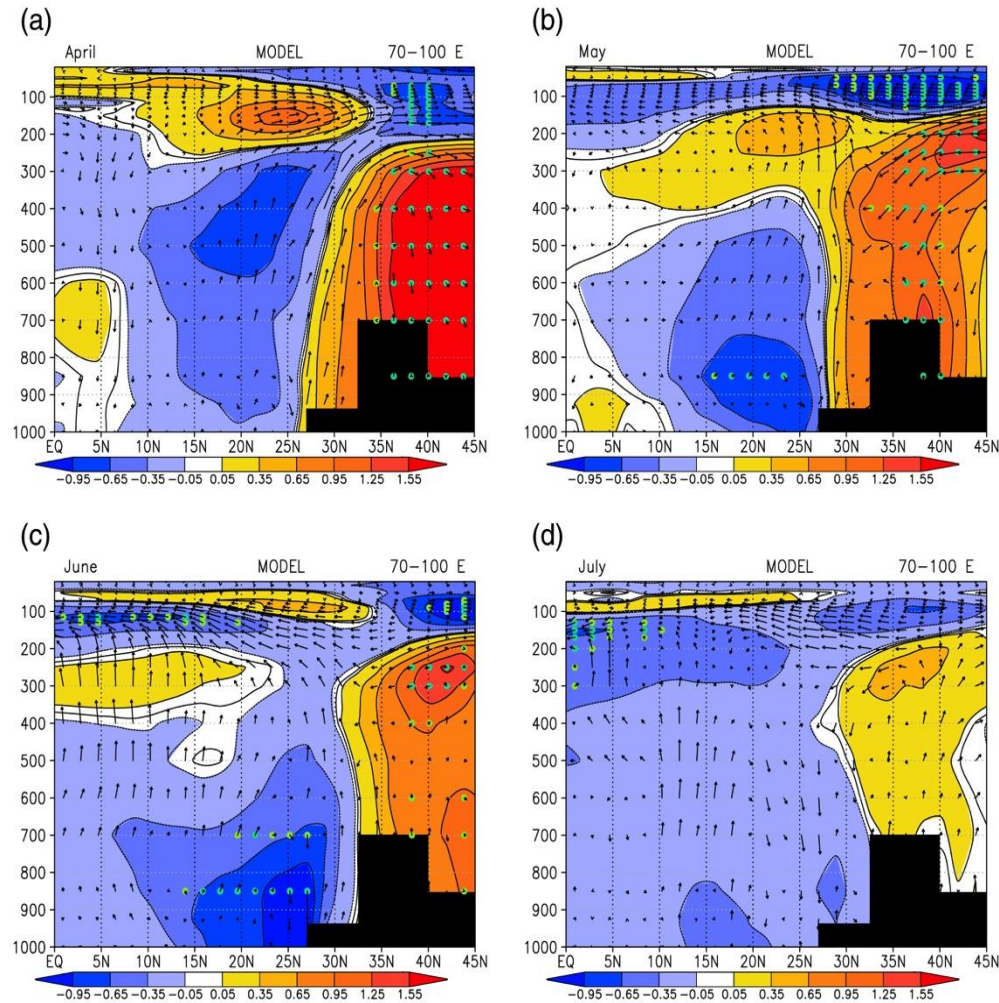


ENSO + EHP  
(La Nina +  
High AA loading)



May-June Precip,  
850 hpa winds

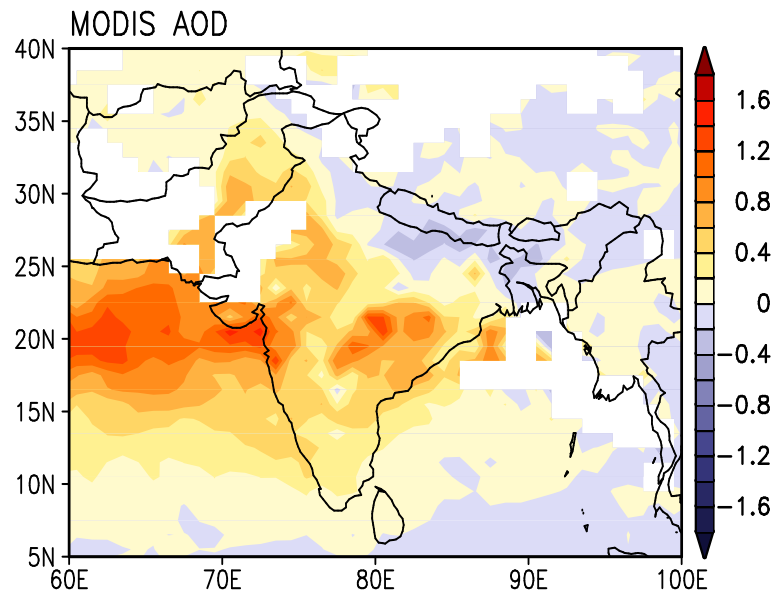
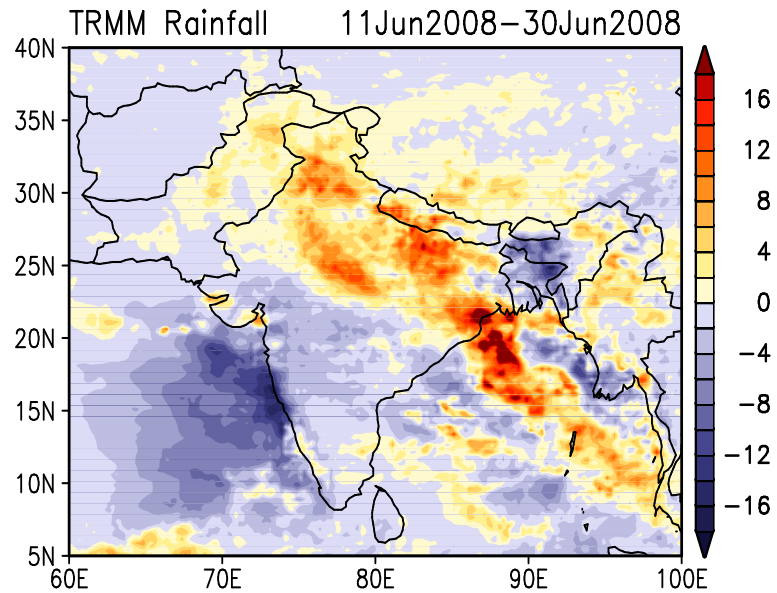
May-June T and meridional circulation



**Figure 3.** Latitude-height distributions of temperature (shaded) and meridional circulation (vectors) composites due to aerosols over the longitude sector of 70–100°E for (a) April, (b) May, (c) June, and (d) July. Values statistically significant at the 95% level (dark green dots) and at the 90% level (light green dots) according to a two-sample Student's *t* test are marked.

EHP in a coupled GCM, with present day anthropogenic GHG and aerosol emissions (prescribed constant) but with interactive dust emission, transport and aerosol radiative and microphysics effects . ( D'Errico et al., 2015)





**2008:** Early monsoon onset over Northern India; heavy monsoon rainfall in the Himalayas foothills, persisted through June-July; relatively dry condition to the south. (*The Asian Summer Monsoon in 2008, NCEP/CPC Global Monsoons Team*)

Major flooding over central southern Nepal and Bihar State of northern India in August 2008

Strong aerosol (mostly dust) build up over Arabian Sea, and northwestern India





## ISM observed anomalies 2008

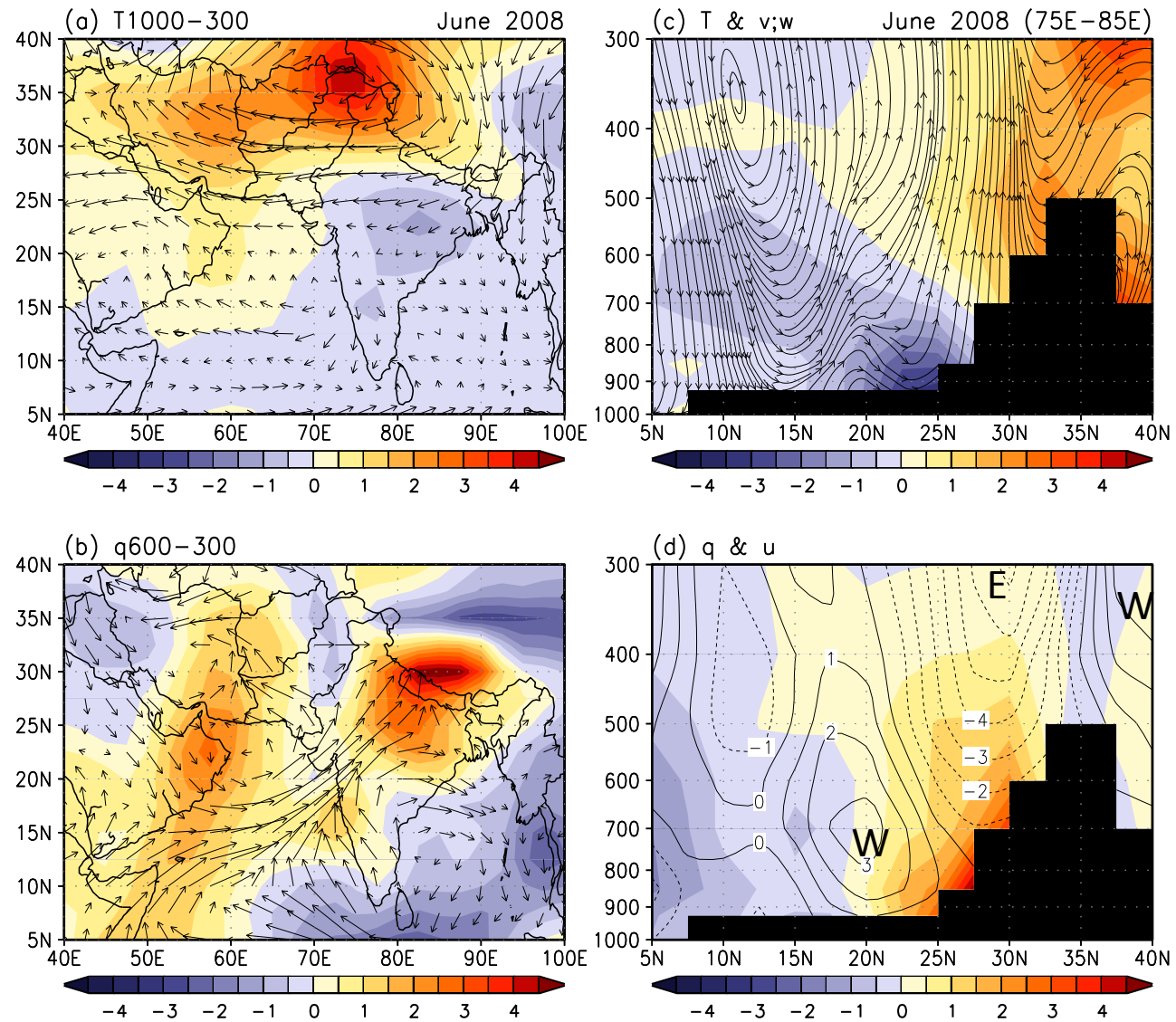


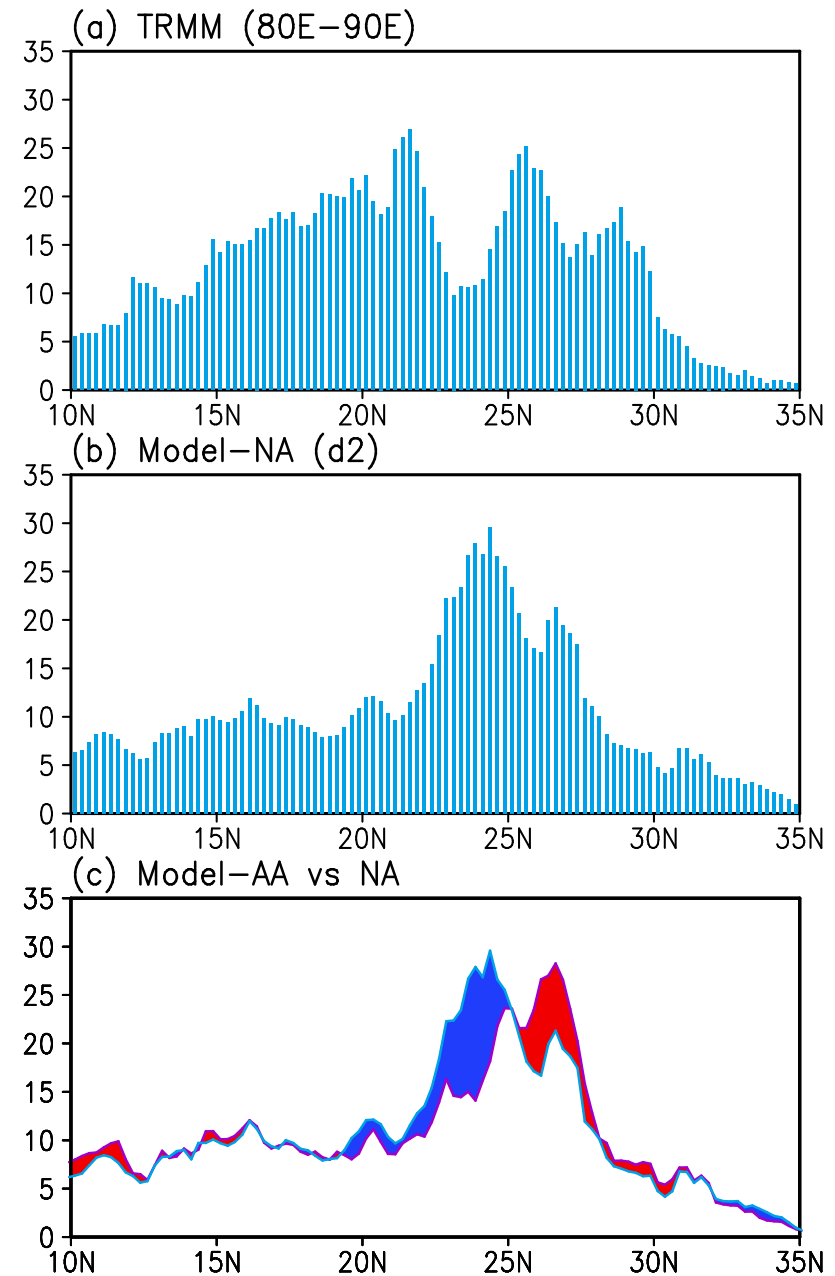
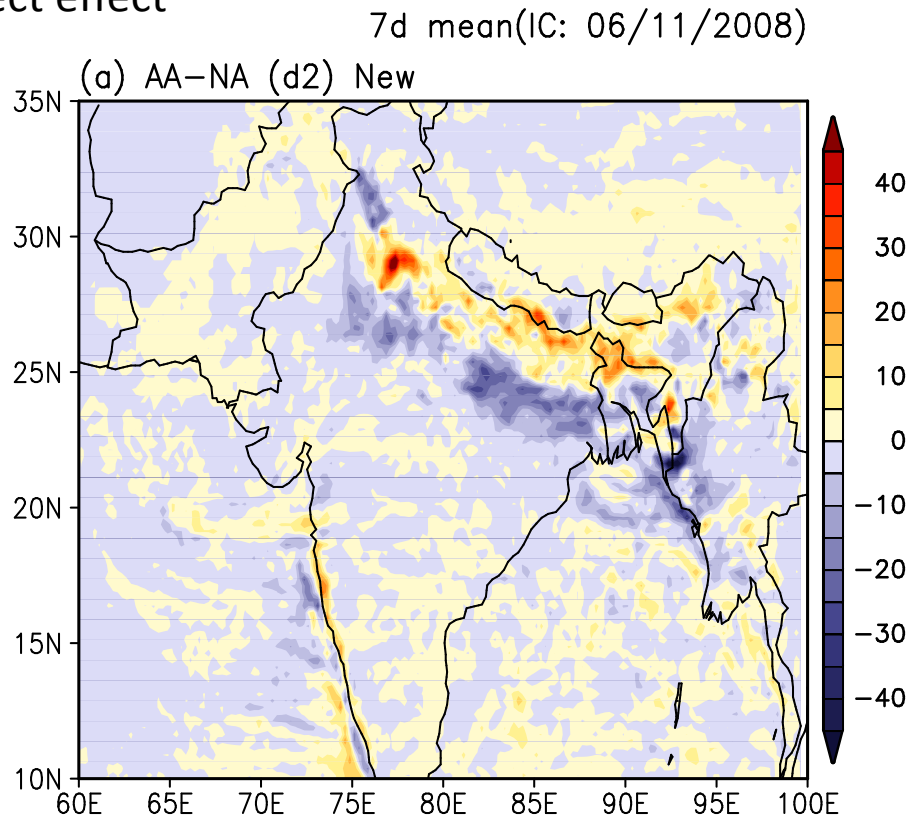
Fig. 2

NU-WRF ensemble (45 member)

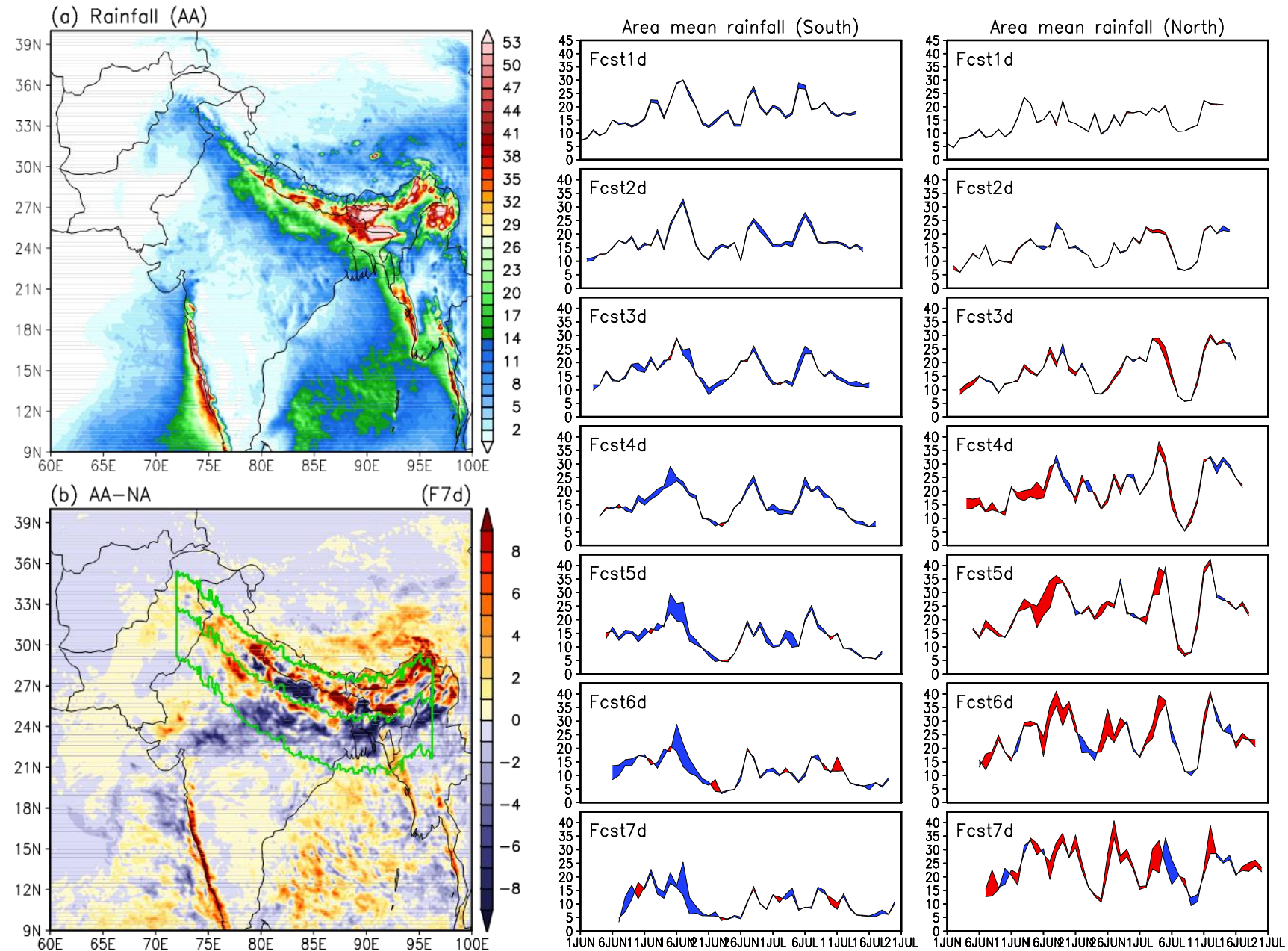
7-day hindcast experiments with realistic  
meteorological and aerosol initial and bdd conditions

June 1- July 15, 2008

1. NA- No aerosols
2. AA- All aerosols, radiative effect (EHP) only
3. EHP + Indirect effect

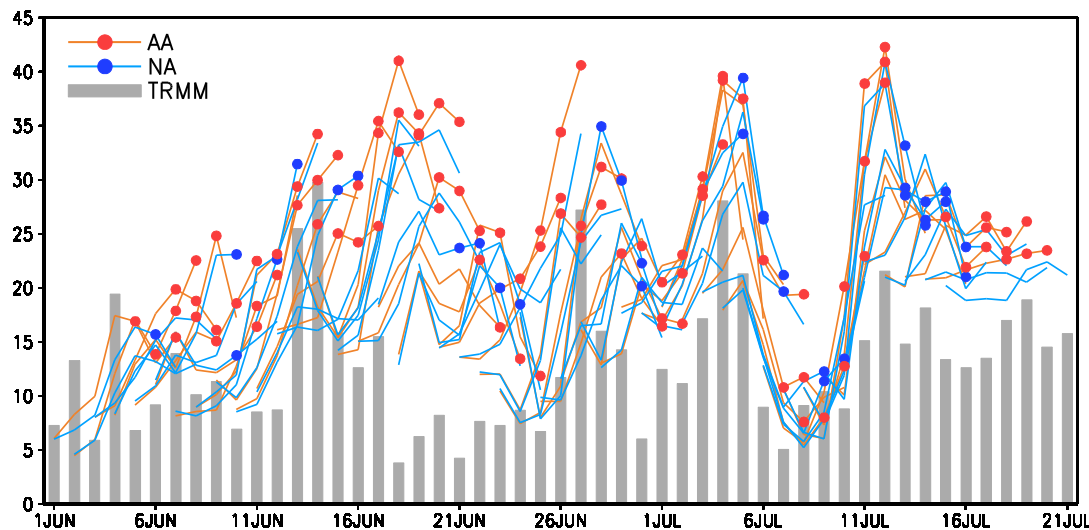


# Effect of Aerosol Radiative Forcing and Dynamical Feedback (EHP)

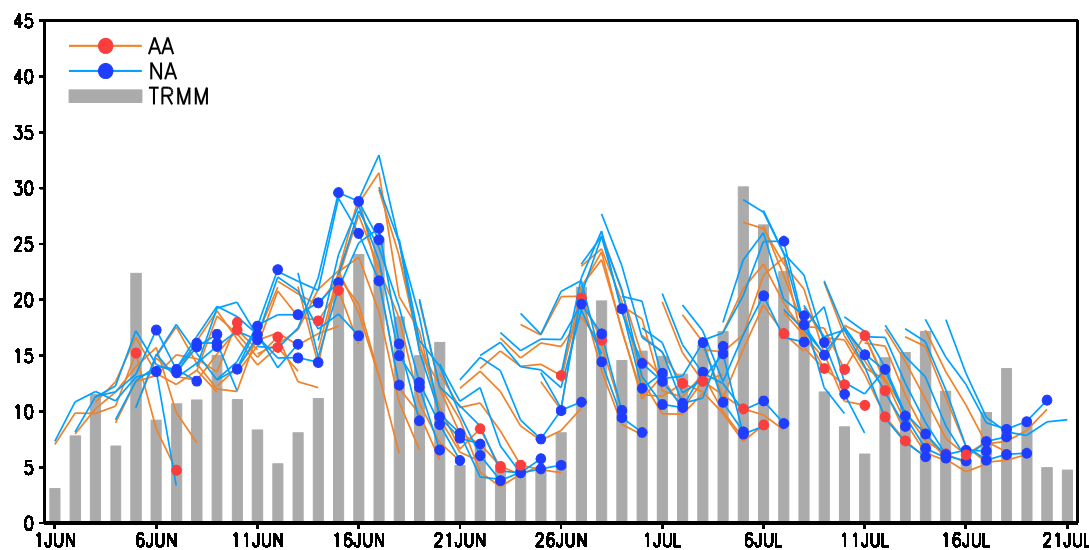




## 45 member, ensemble 7-day precipitation forecasts over NIHF region

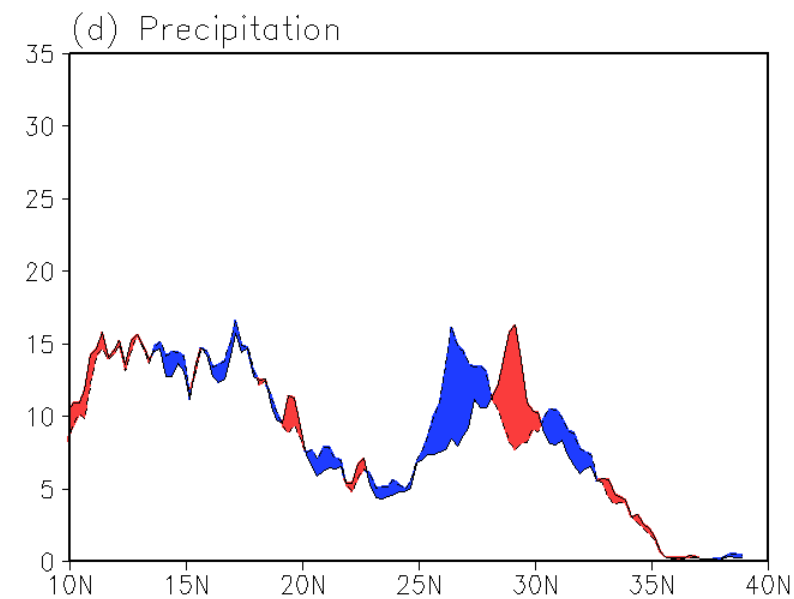
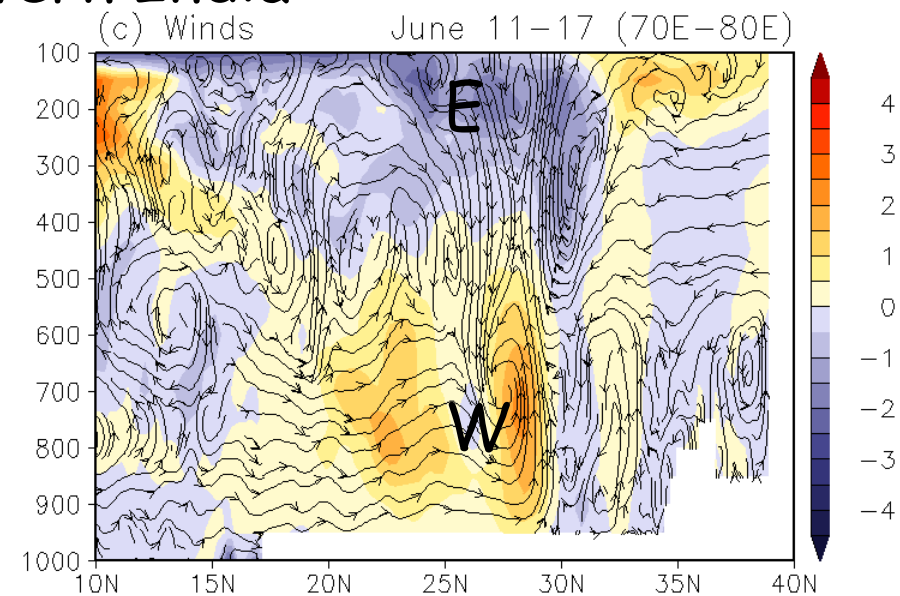
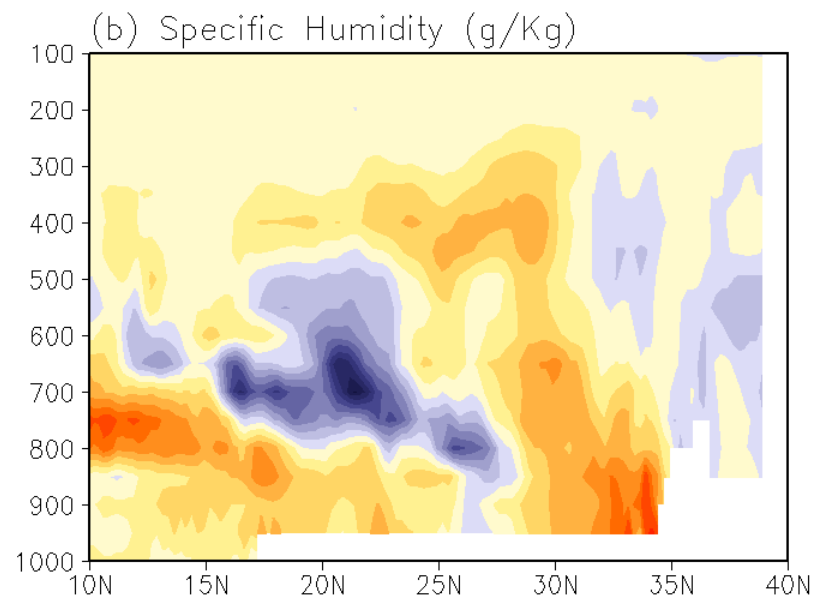
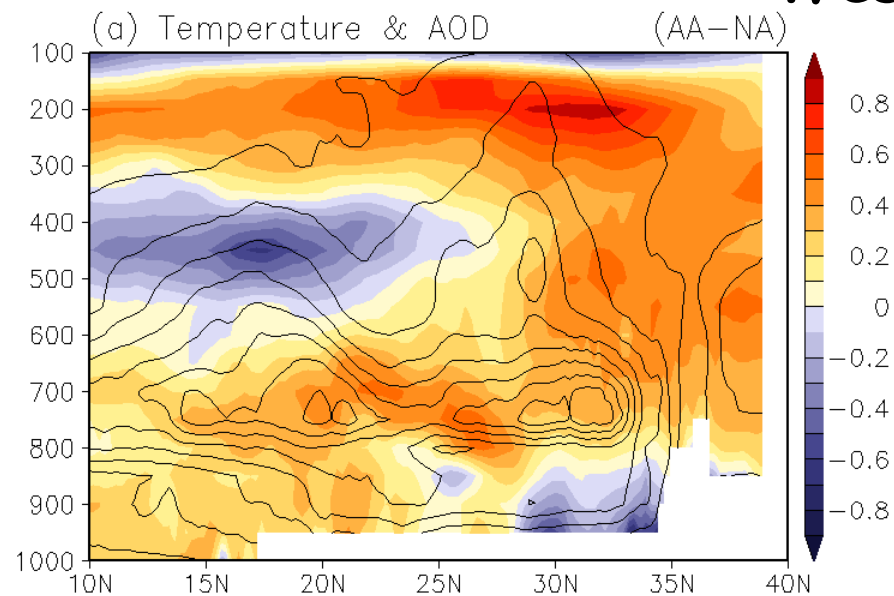


Northern Domain (HF):  
3-to-1 ratio in increased rainfall

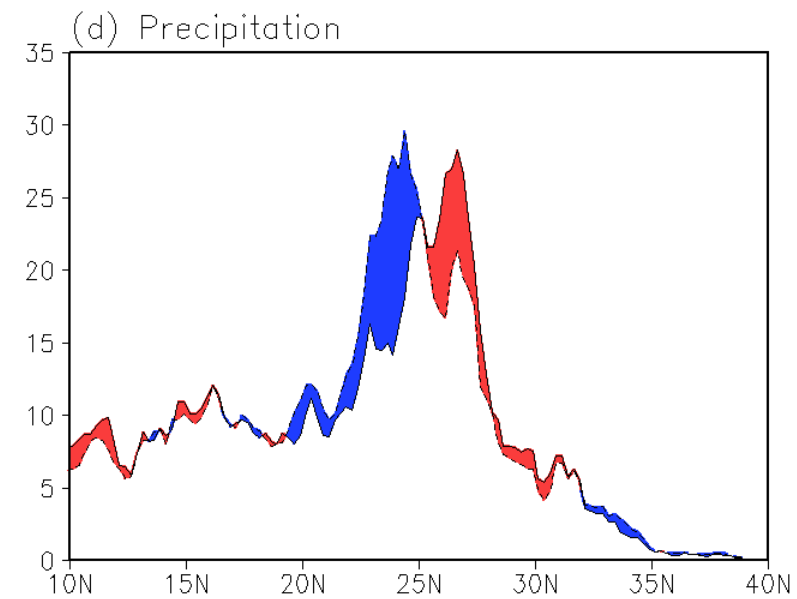
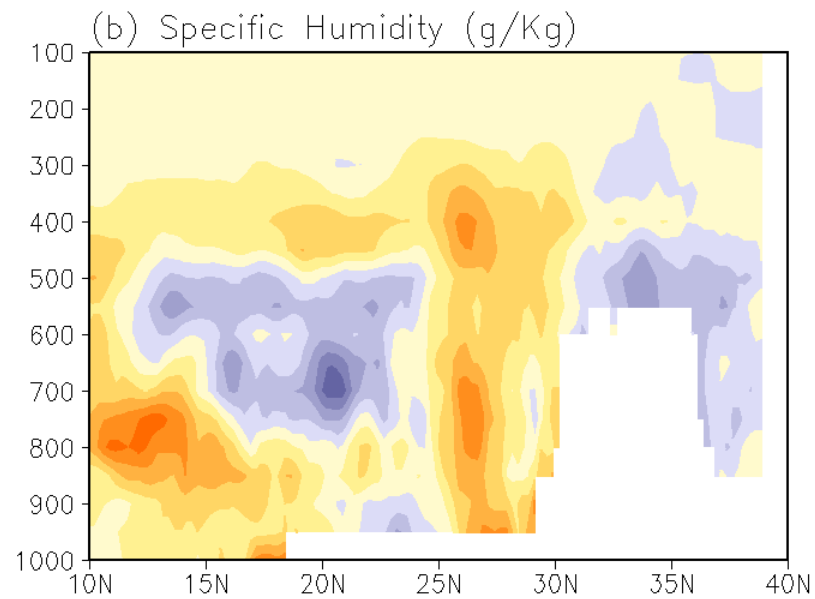
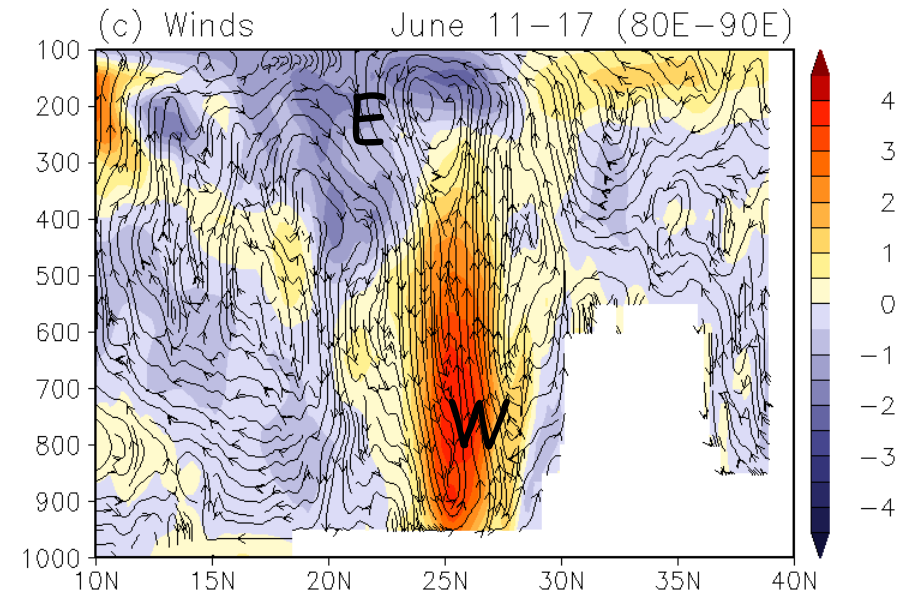
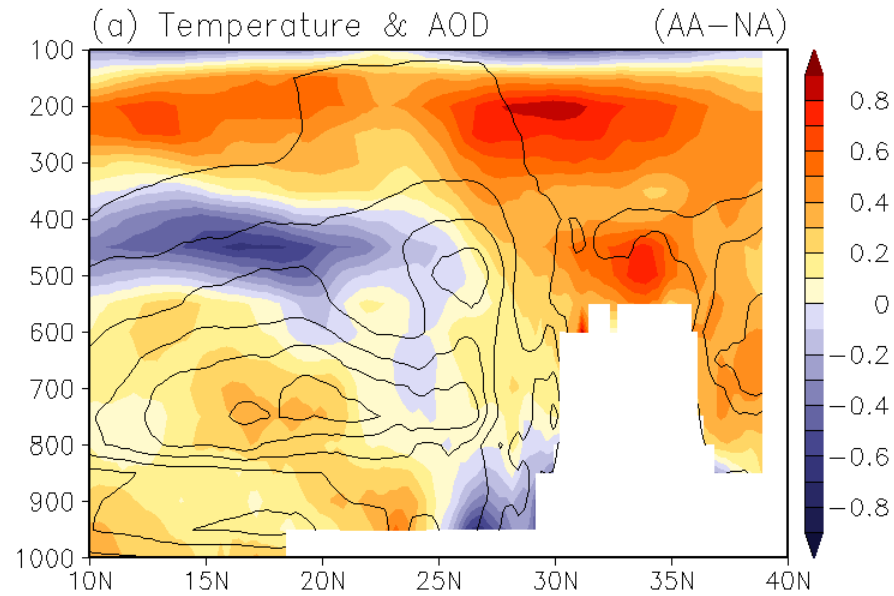


Southern Domain (NI)  
3.5-to-1 ratio in decreased rainfall

# Western India

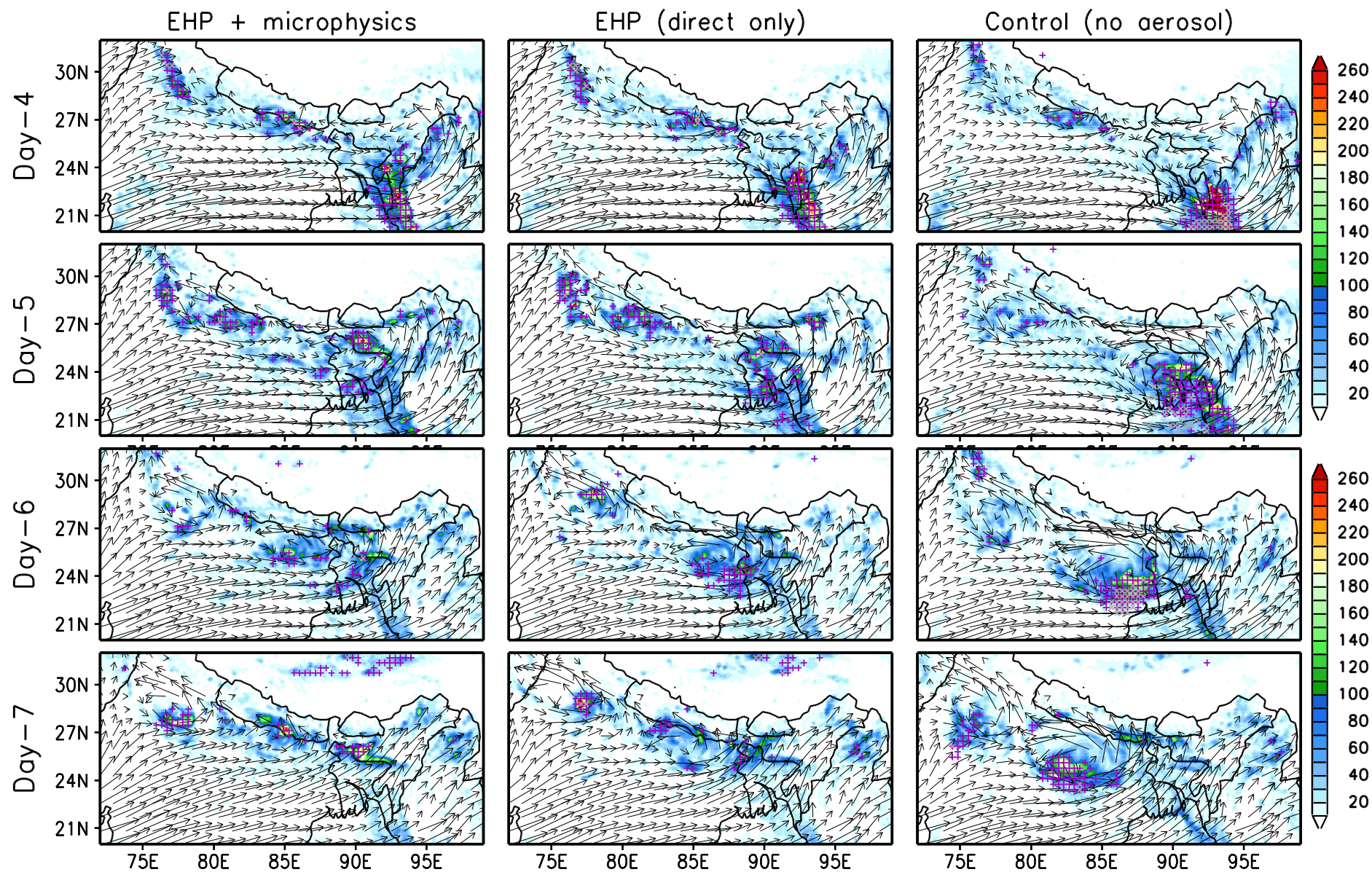


# Northeastern India

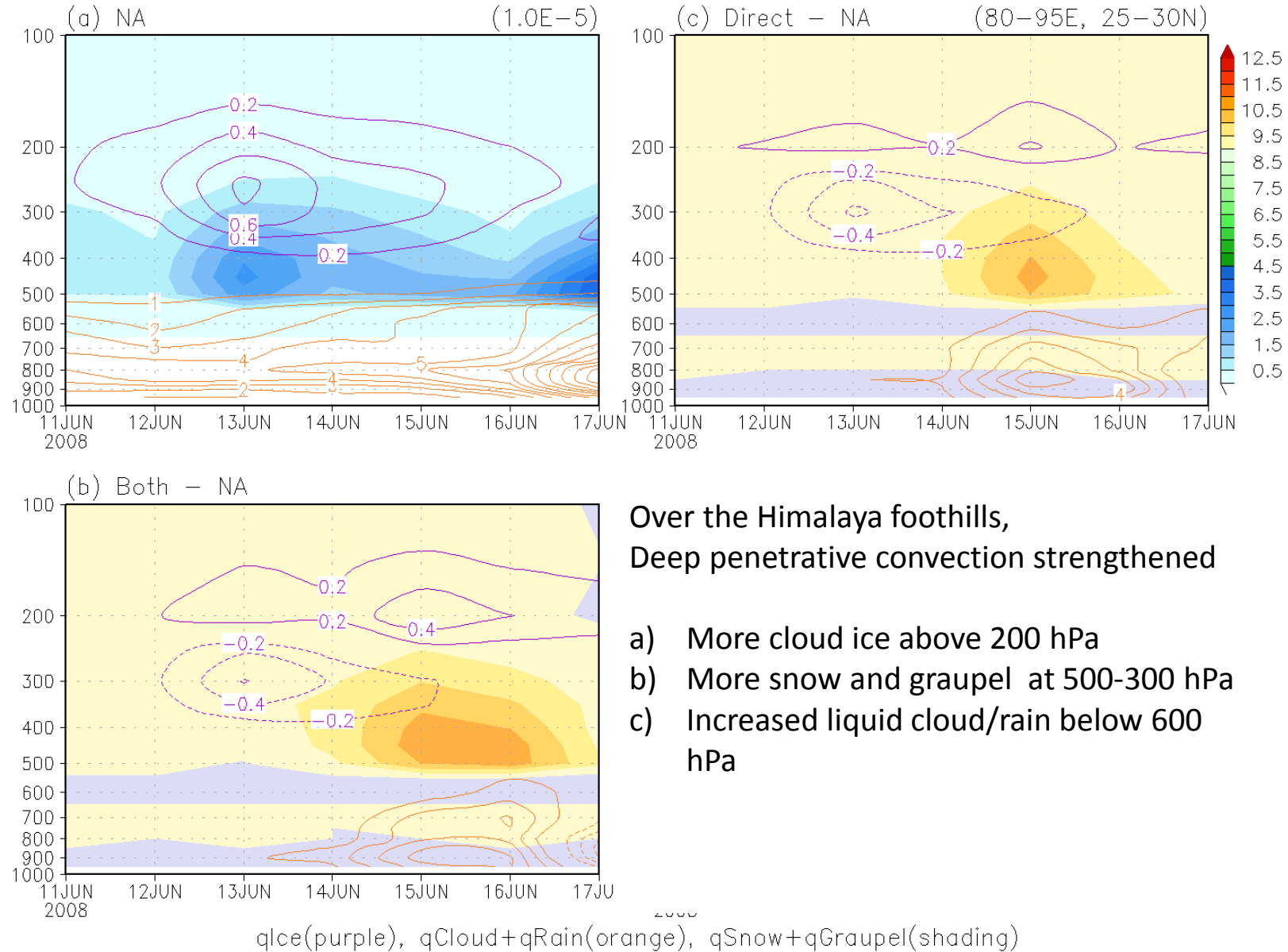




# EHP effects transform a developing monsoon cyclone over NE India into severe line convection over NIHF



# Changes in the vertical hydrometeo profiles (North: Himalayan foothills)





# UTLS Circulation and Transport (from W. Randel's ppt file)

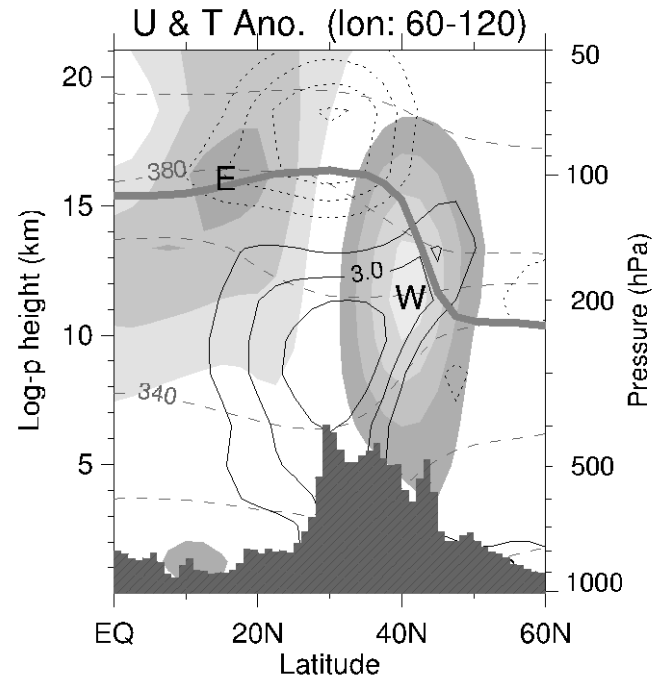
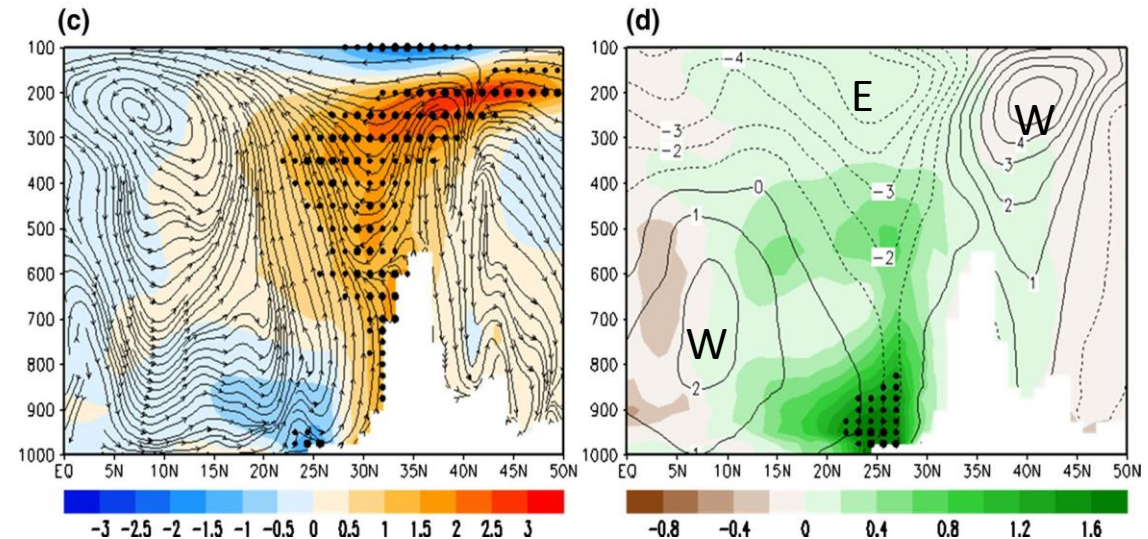
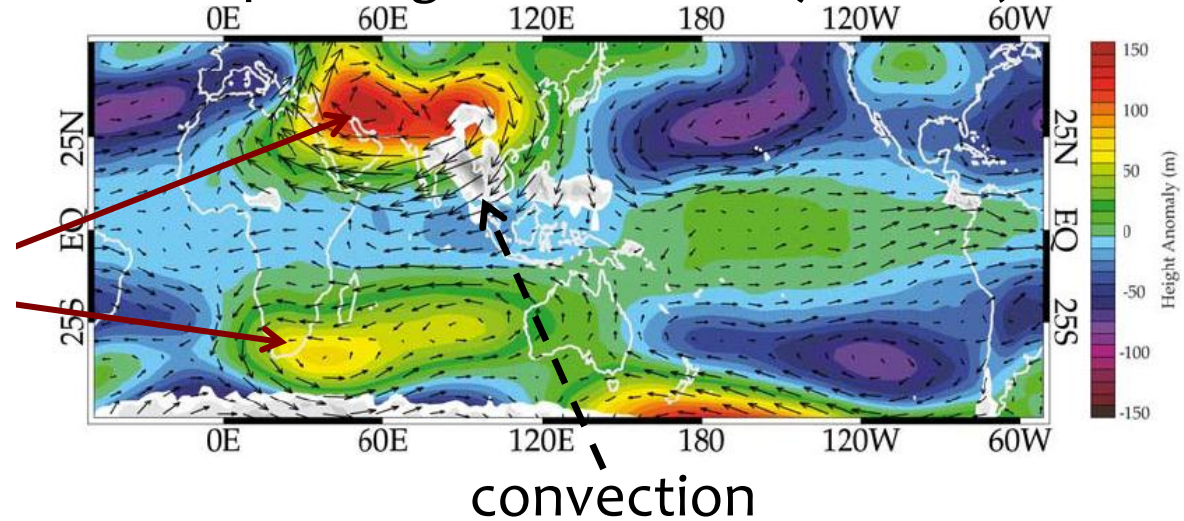


Figure 2. Vertical structures of 2-month (July and August) average NCEP zonal wind (shaded) and temperature anomalies (thin lines) averaged in 60°–120°E longitude. The westerly and easterly jets are shaded separately and denoted by “W” and “E,” respectively. The shade contours are at intervals of  $8 \text{ m s}^{-1}$ ; the maximum westerly winds are near  $36 \text{ m s}^{-1}$ , and the maximum easterlies near  $31 \text{ m s}^{-1}$ . The temperature anomaly contours are  $\pm 1.5$ ,  $3.1$  and  $4.5 \text{ K}$ , shown as solid (positive) and dotted (negative) lines, respectively. Dashed lines denote isentropic surface (320, 340, 360, 380, and  $450 \text{ K}$ ), and thermal tropopause from NCEP/NCAR reanalysis is noted by a thick solid line. The regional topography is added at the bottom.

Randel and Park (2006)

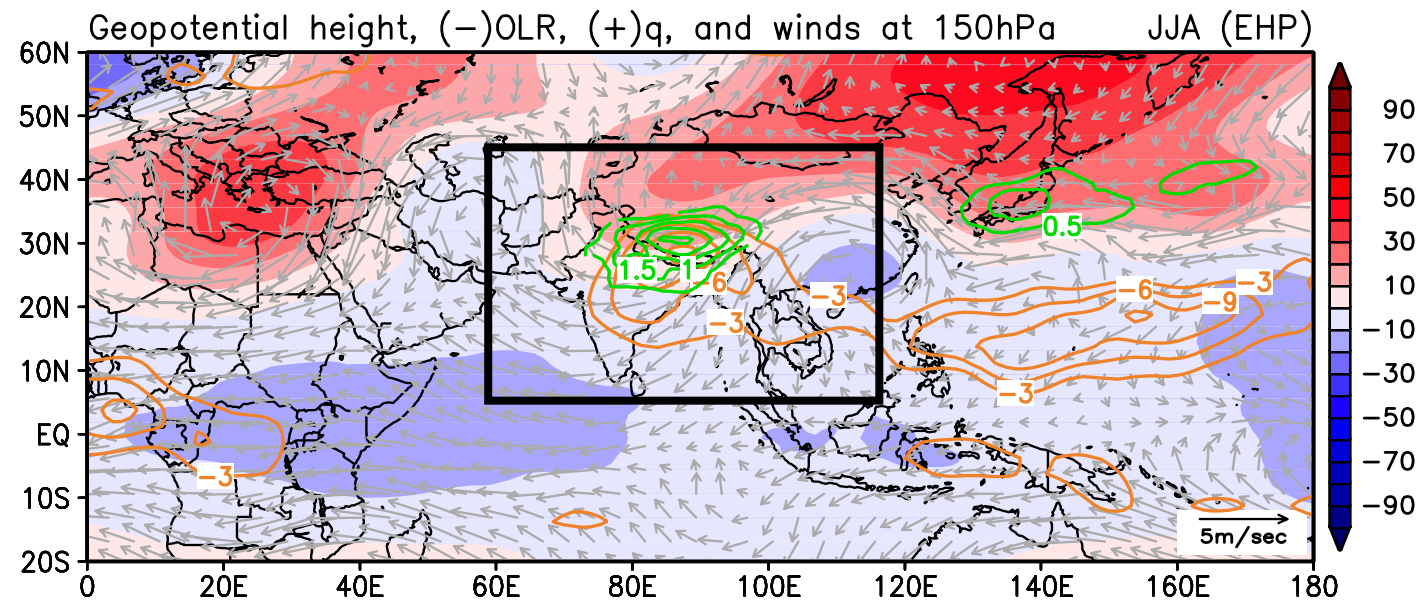
## Geopo. height and winds (100 hPa)



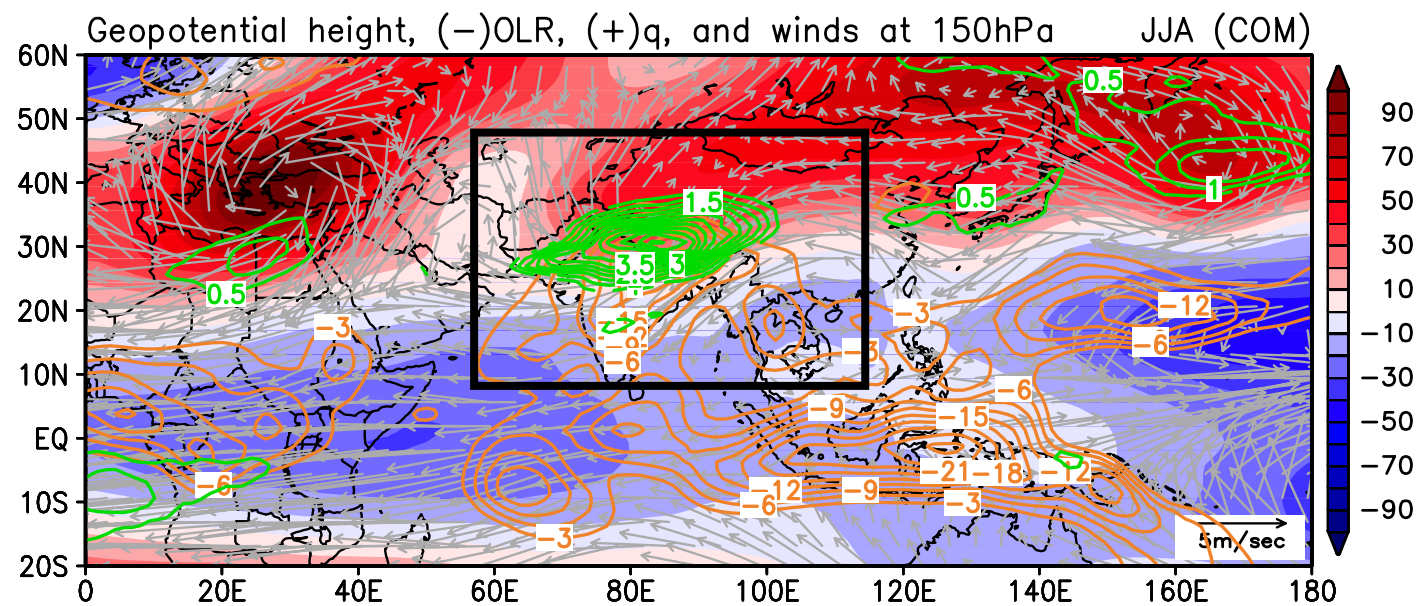
EHP induced T, q and wind anomalies



MERRA2 data

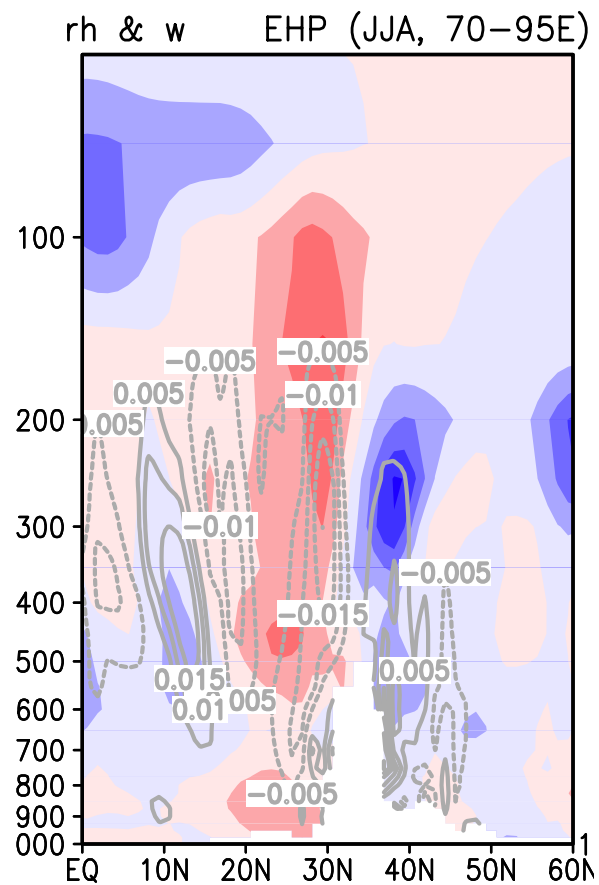


EHP

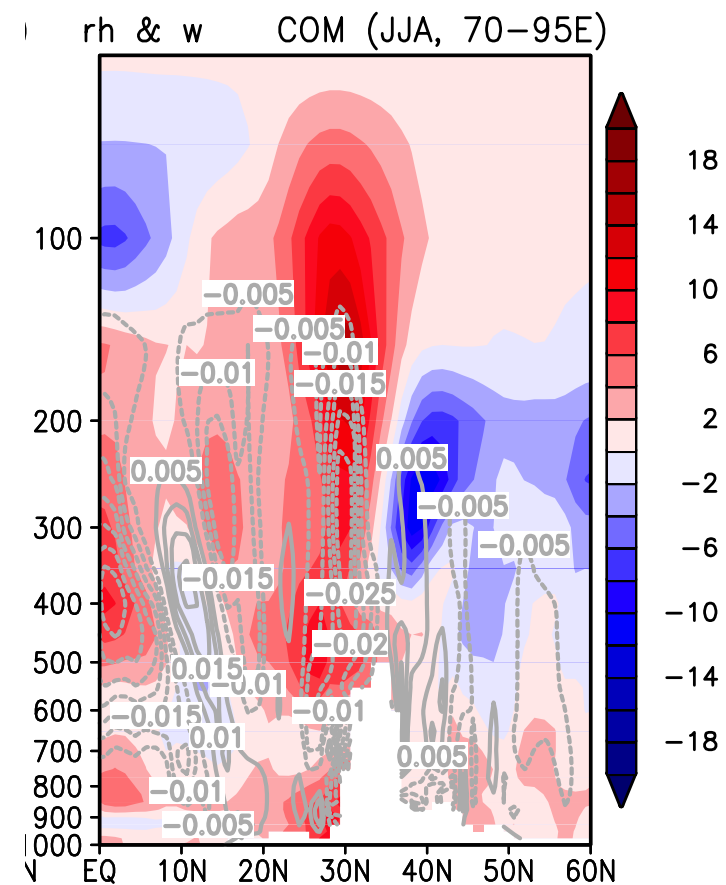


EHP + ENSO

# EHP



# EHP + ENSO



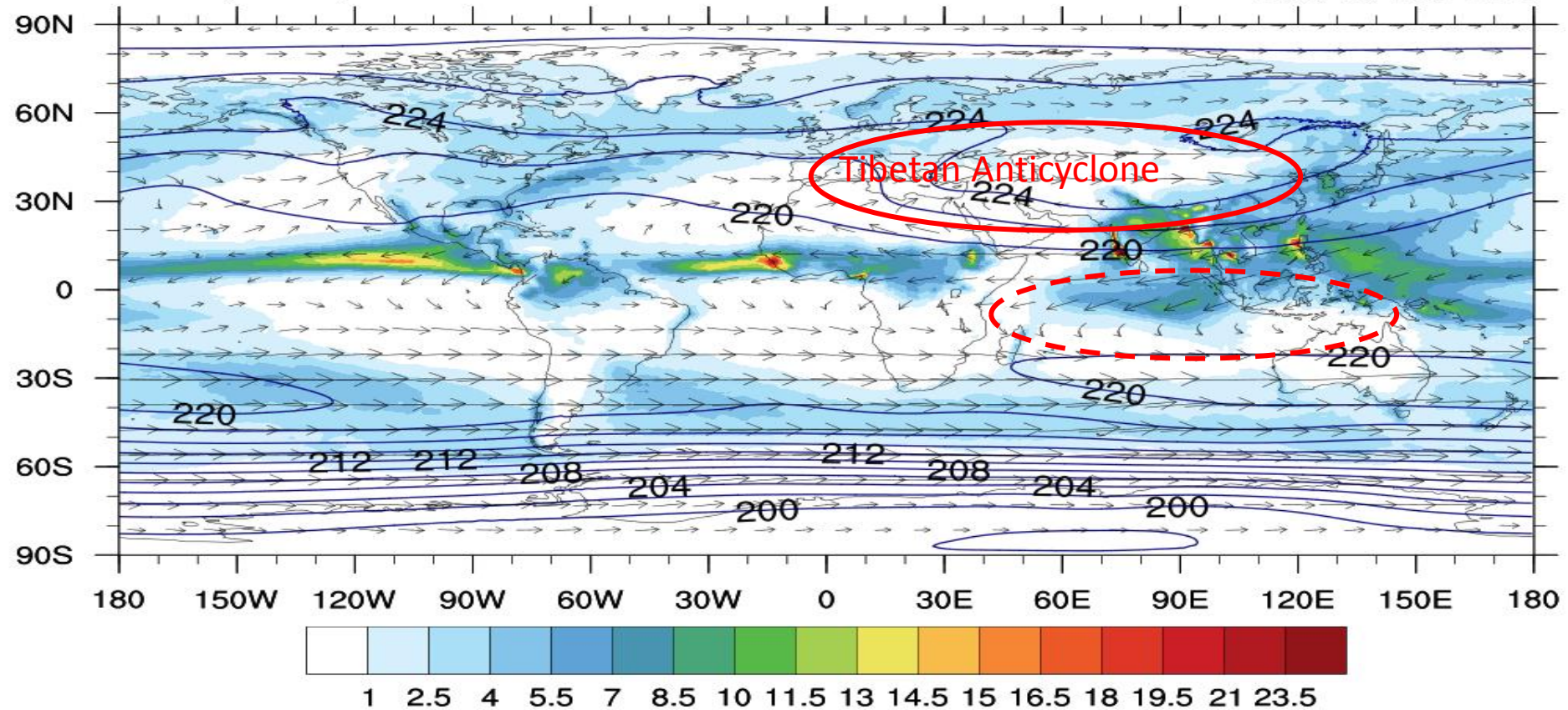
MERRA2-reanalysis

Temperature (K)

Precipitation (mm day<sup>-1</sup>)

Wind (m s<sup>-1</sup>)

July-August  
300 to 100 hPa



20  
→  
Reference Vector

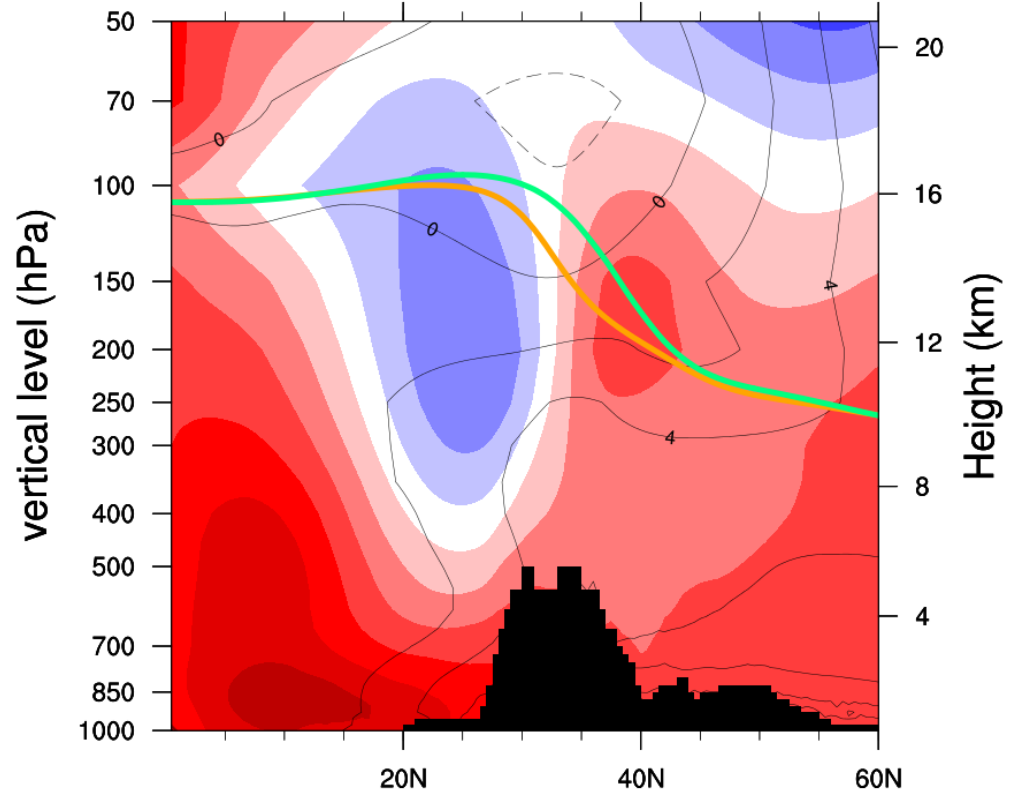


80E-100 E

Temperature (K)

Horizontal Wind (m s<sup>-1</sup>)

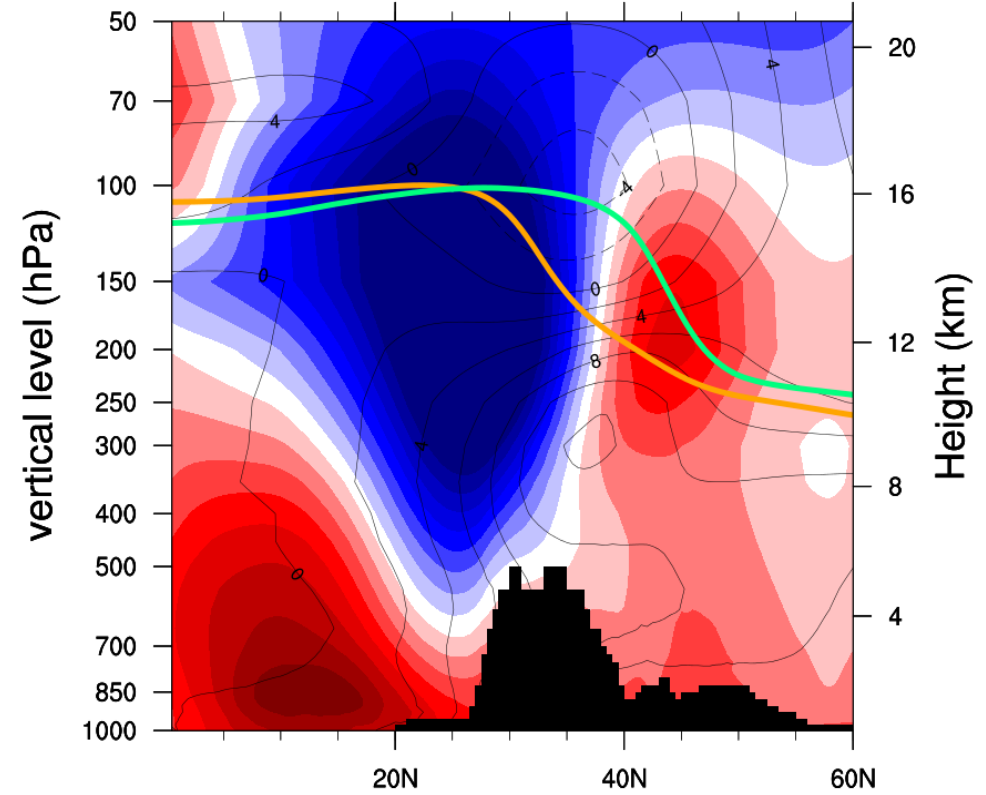
May-June

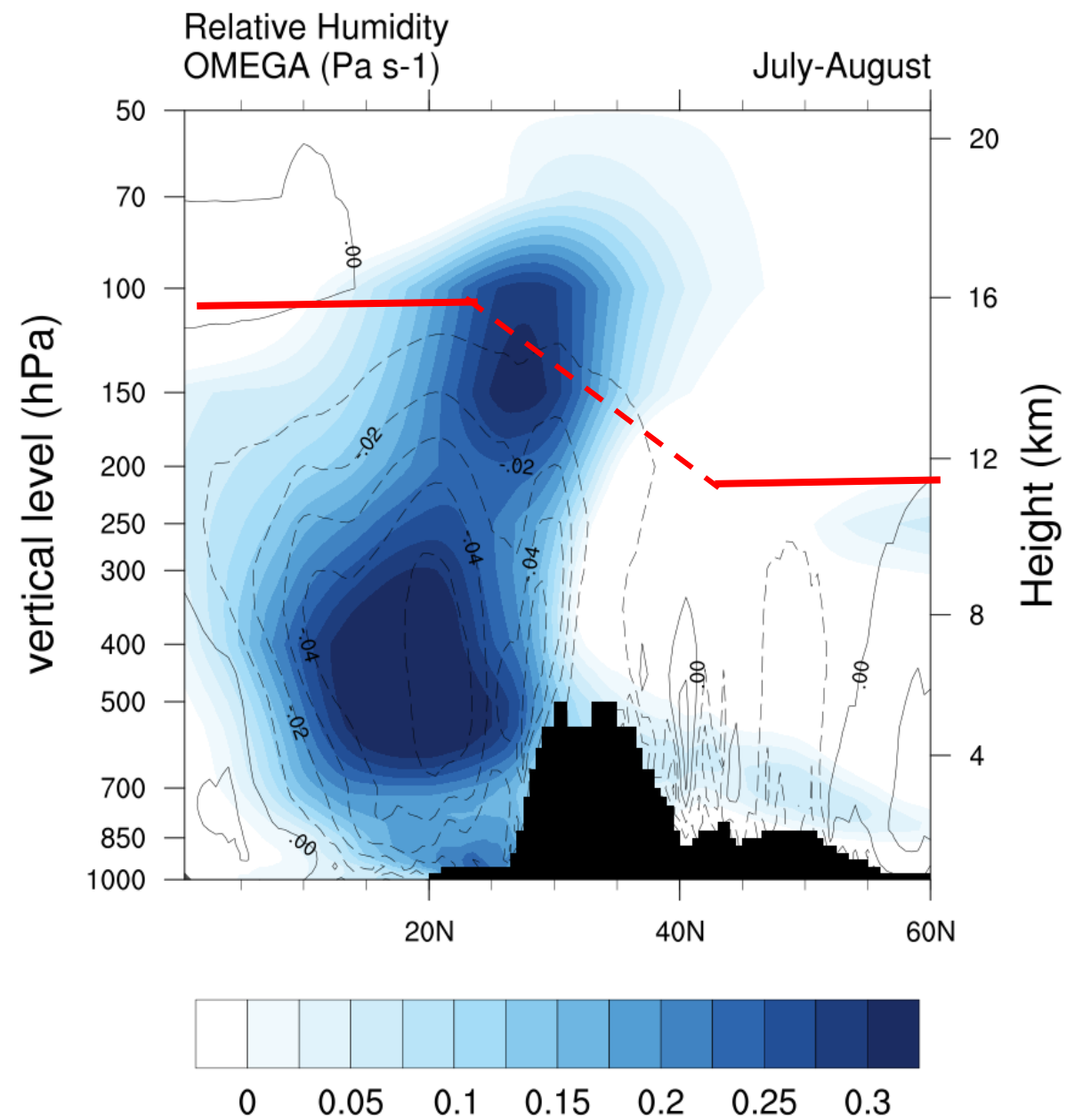
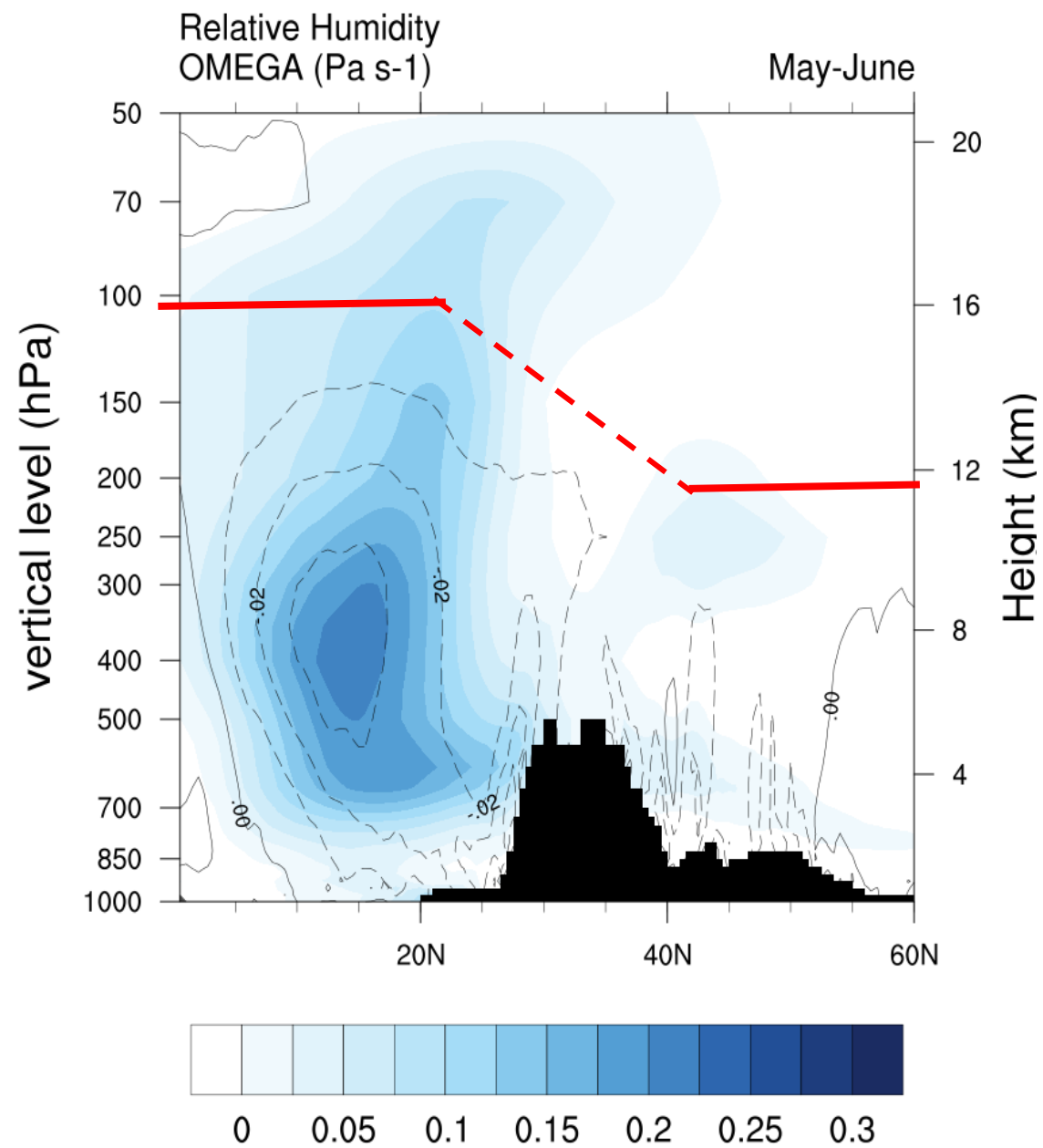


Temperature (K)

Horizontal Wind (m s<sup>-1</sup>)

July-August



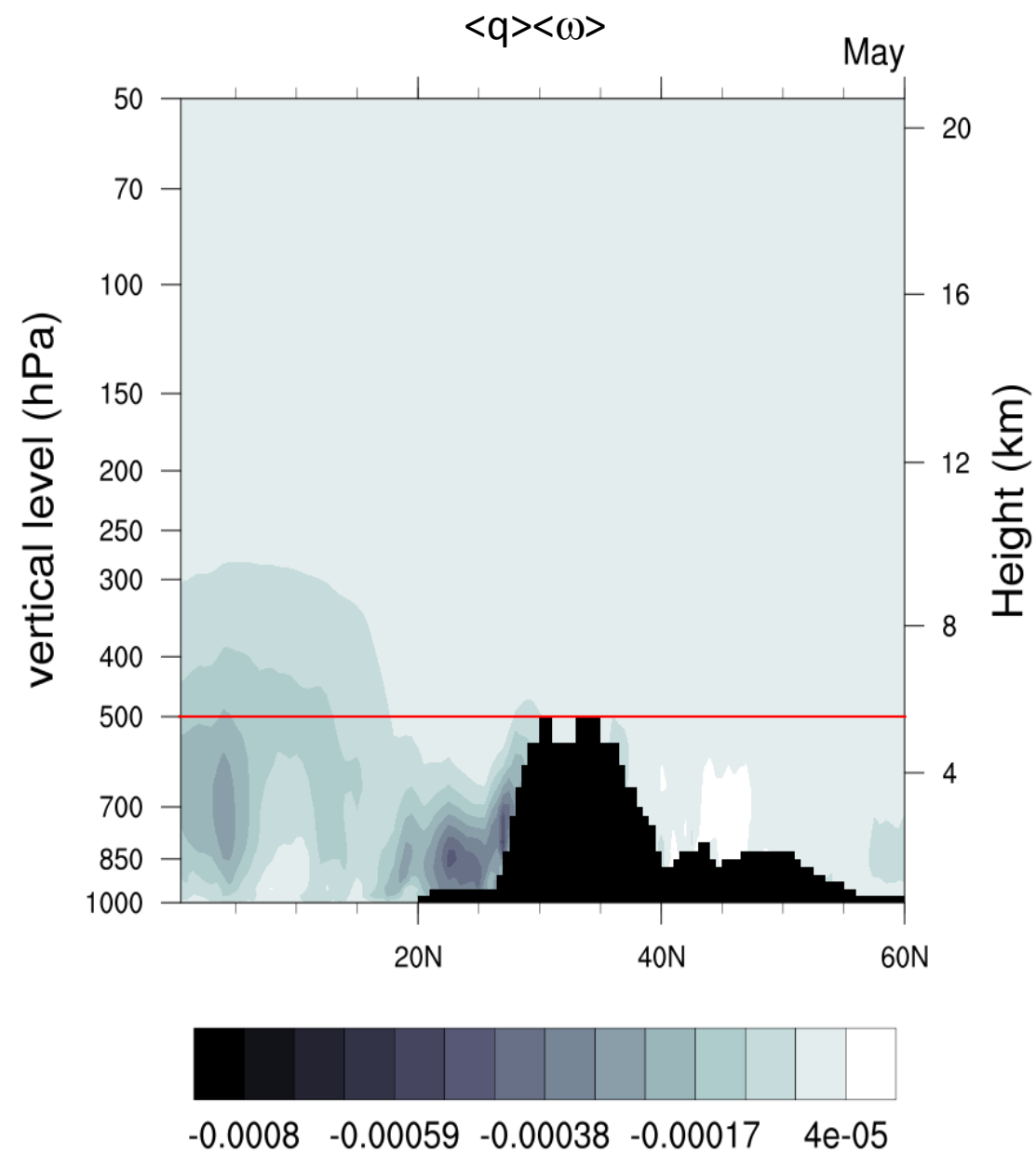
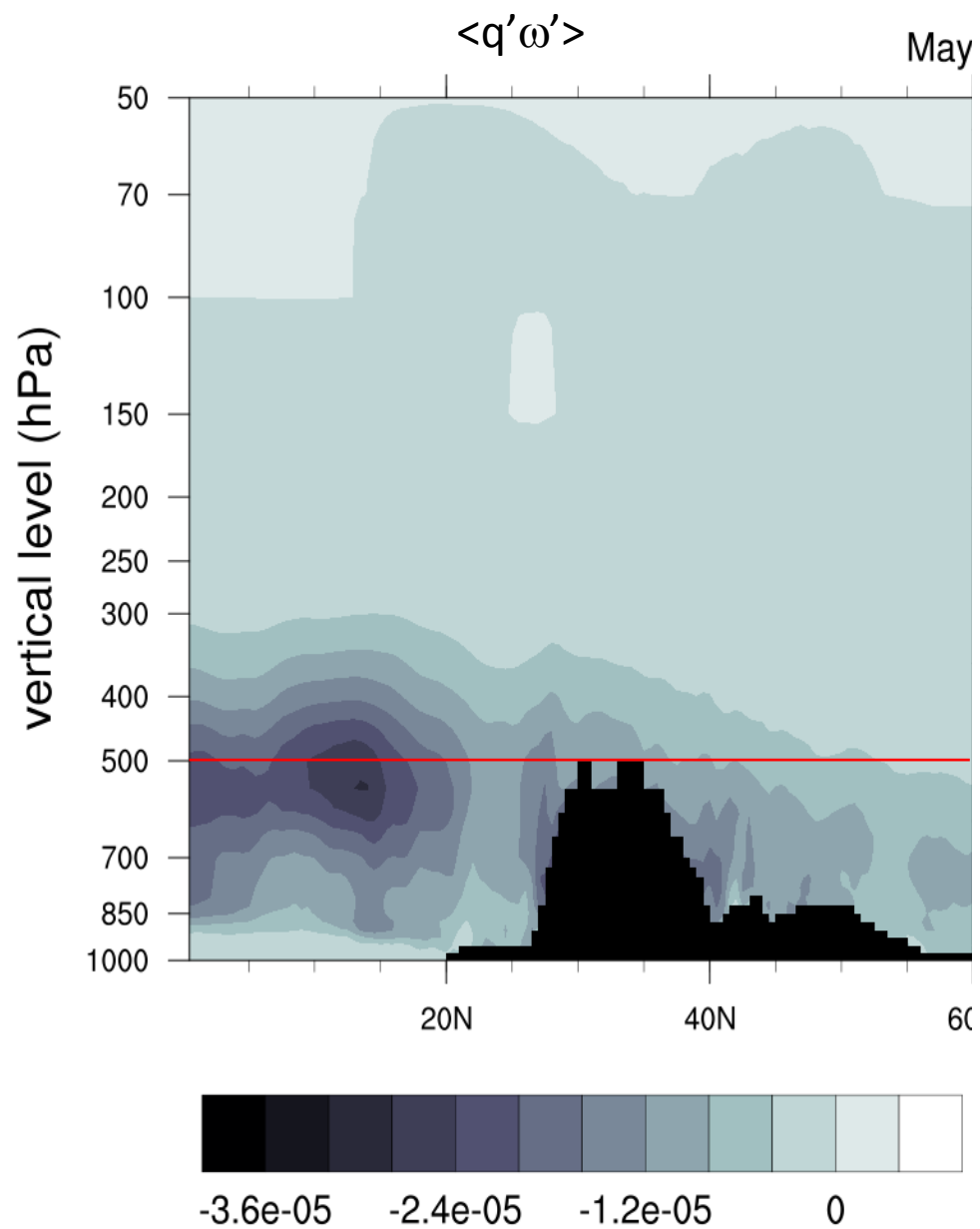


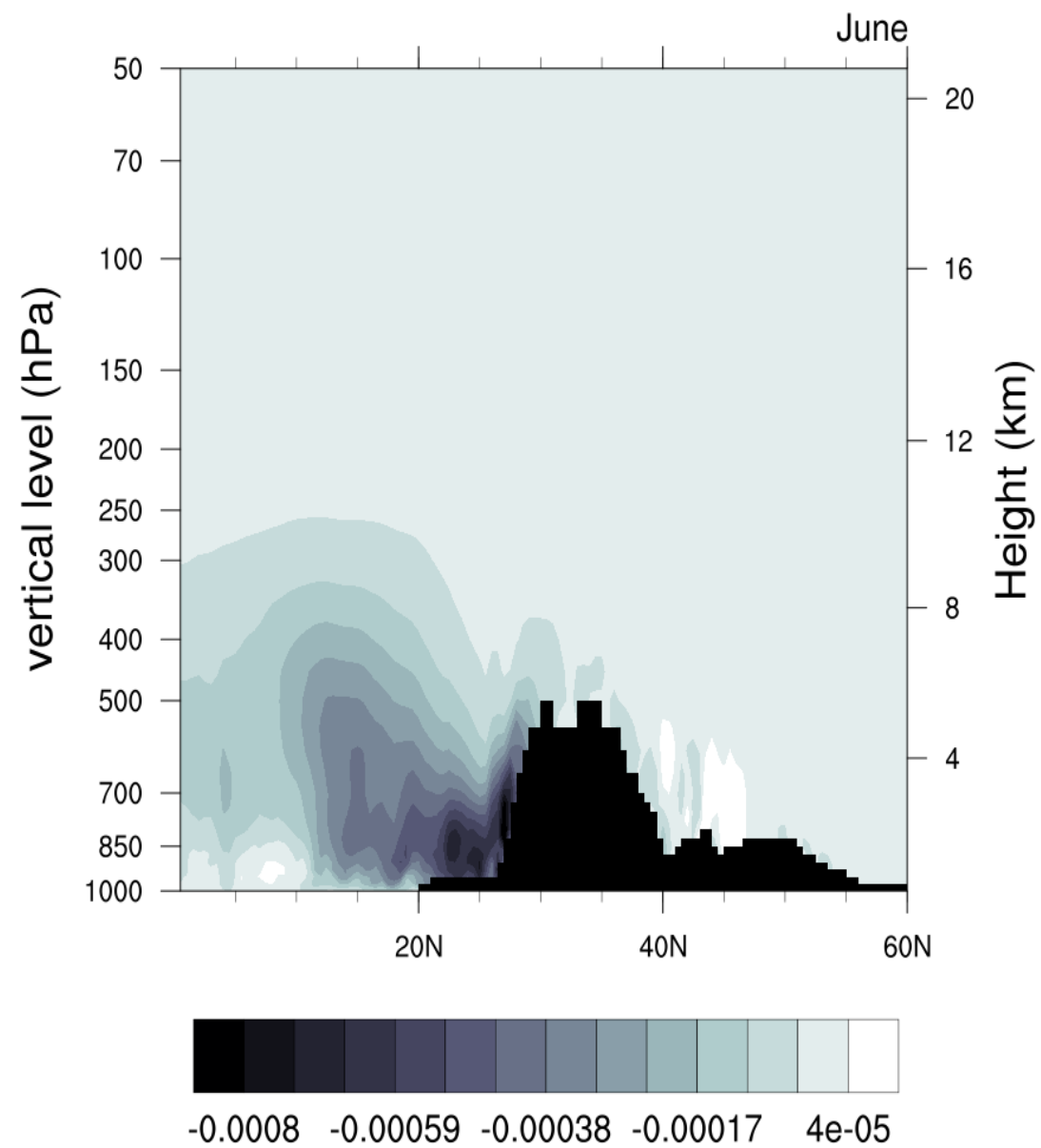
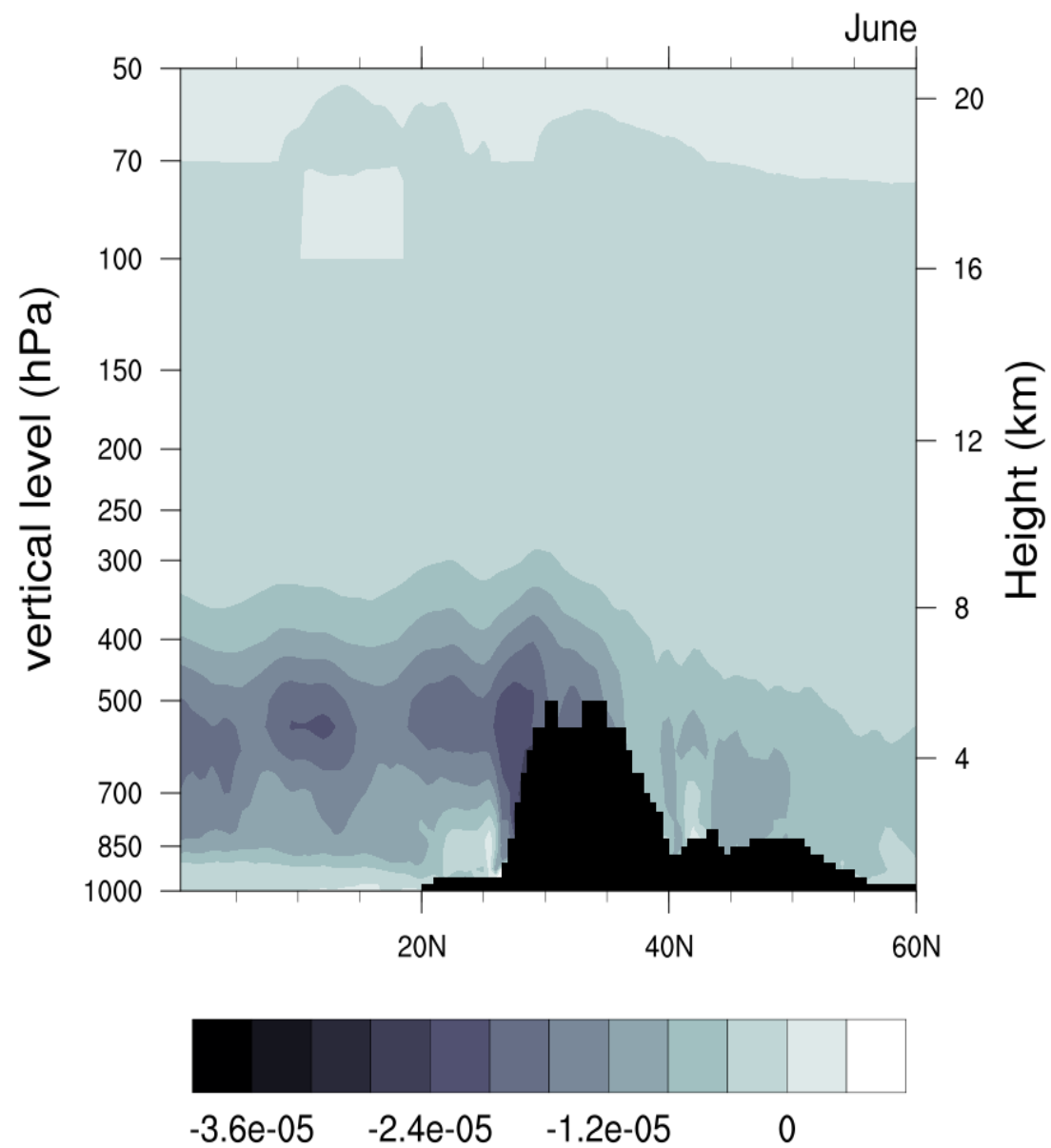
# Further work

- How do atmospheric constituents (water vapor, aerosols, chemical gases) transport from the surface to the tropical UTLS ?
- What are the roles of mean ascent vs. convective transport?
- What roles absorbing aerosols (dust and BC) play in with extreme precipitation/penetrative deep convective events over the Himalayan foothill?
- Working hypothesis: Aerosol induced EHP effects enhance penetrative convection over the steep topography on the Himalayas and southeastern slopes of the TP, making this region a key pathway for transport of pollutants/chemical gases to the tropical UTLS.

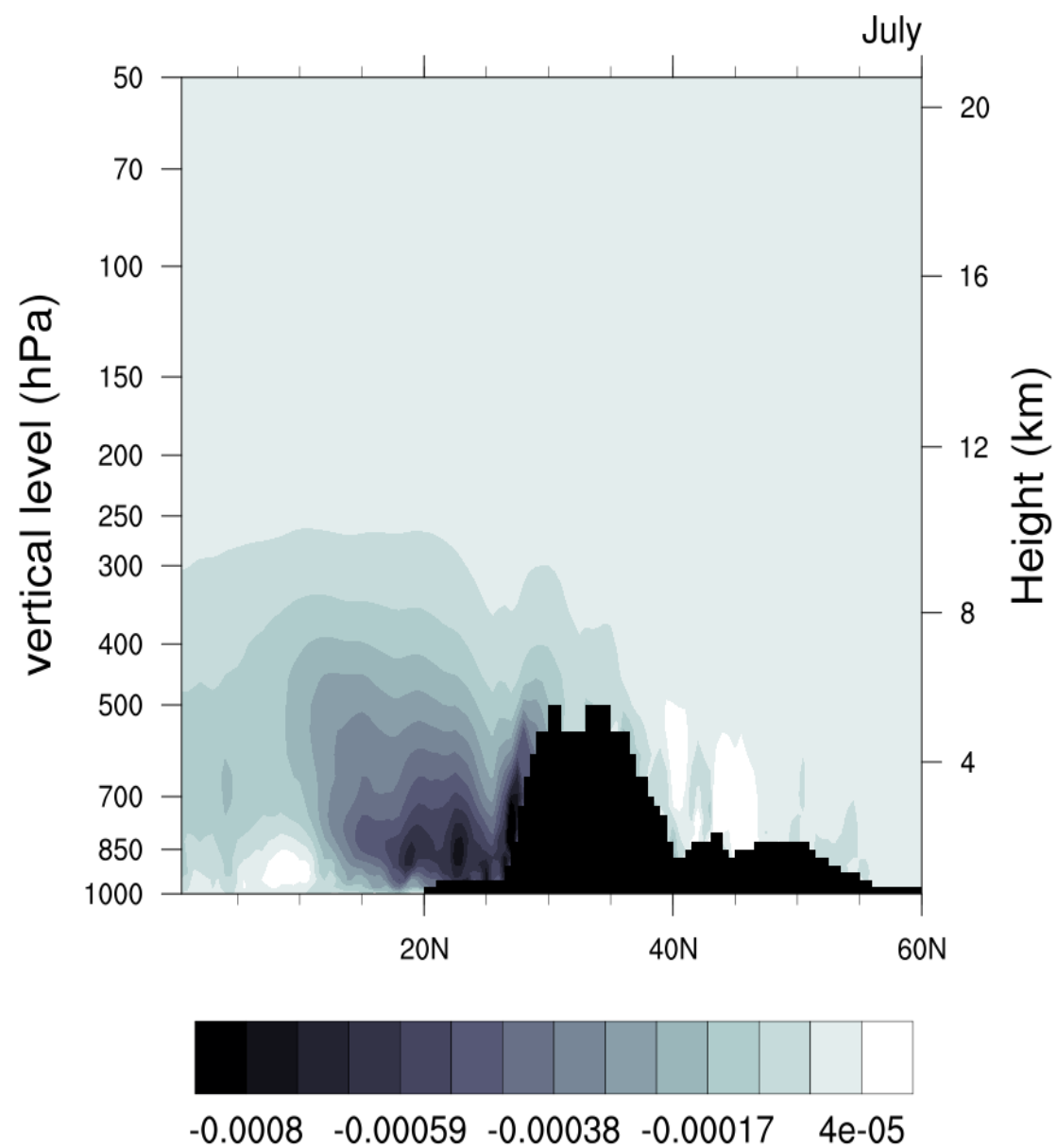
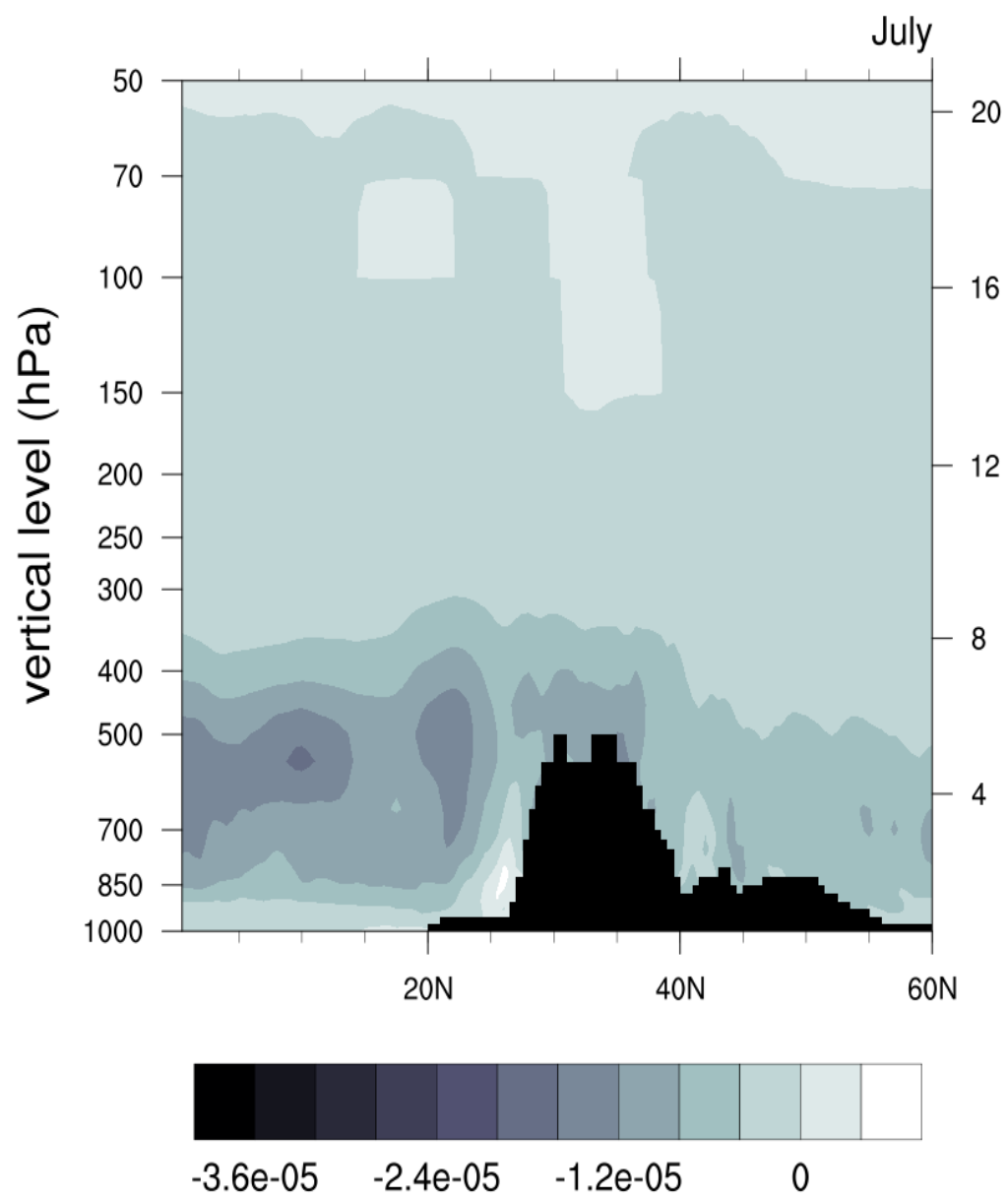


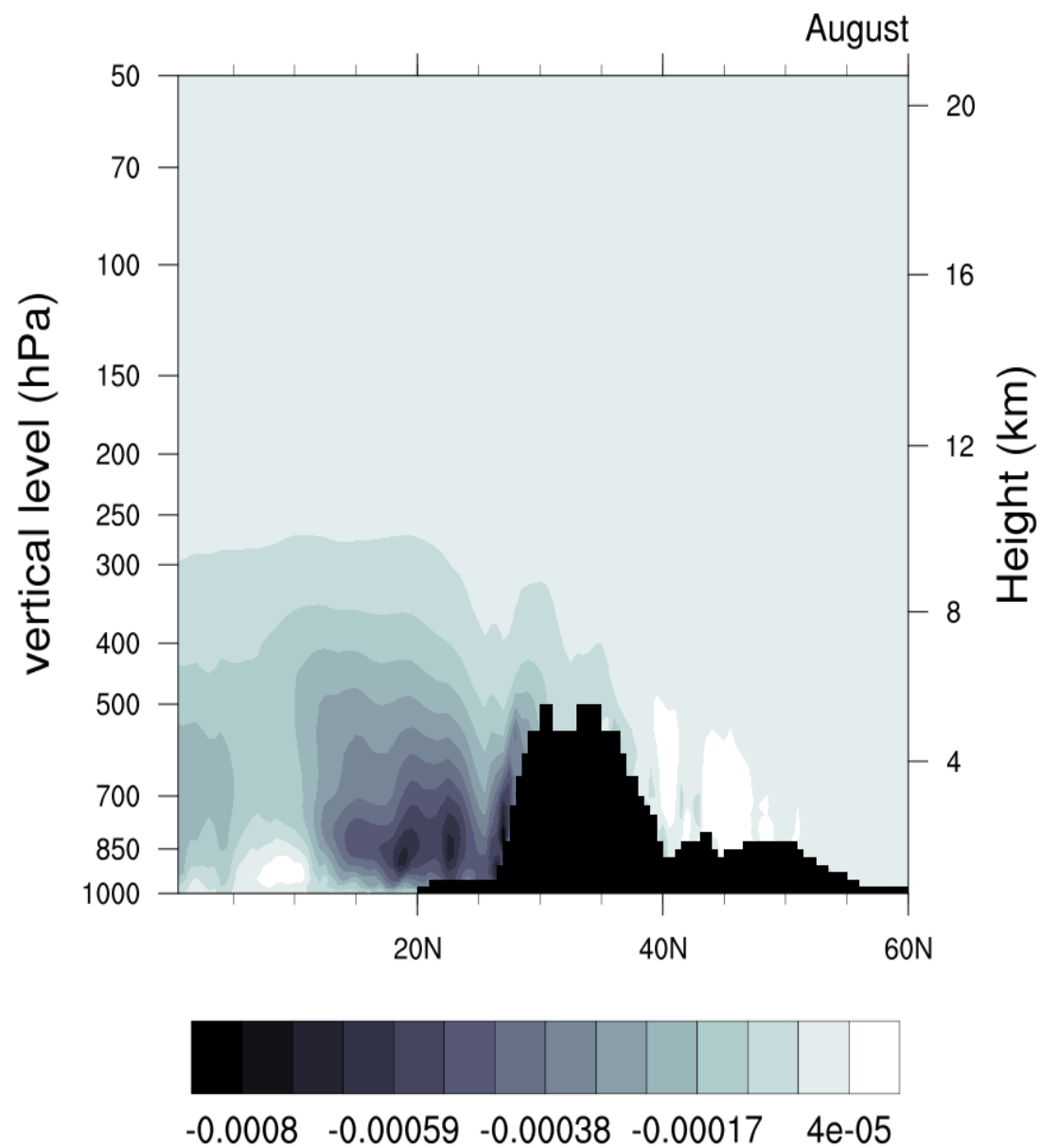
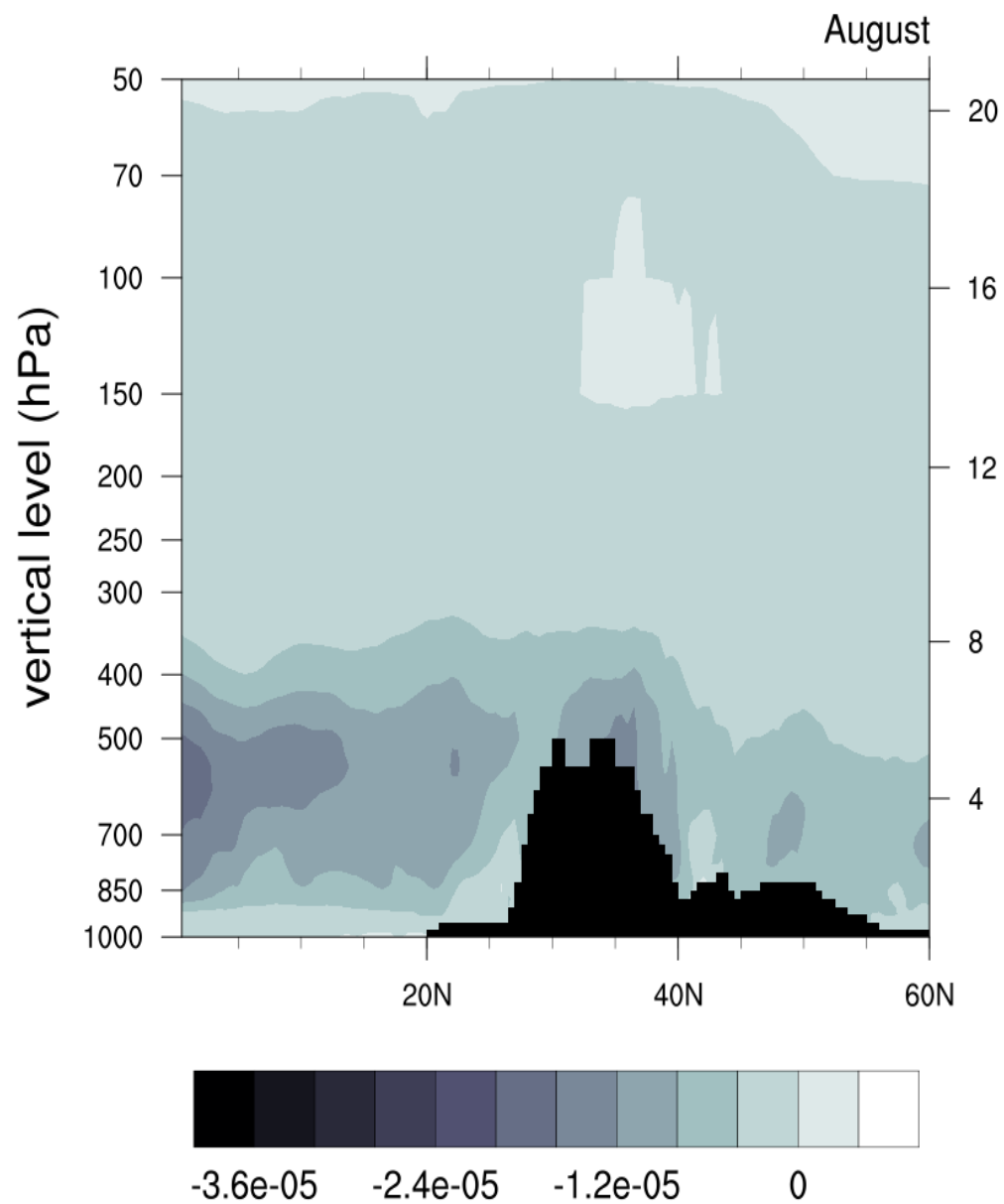
Thank You



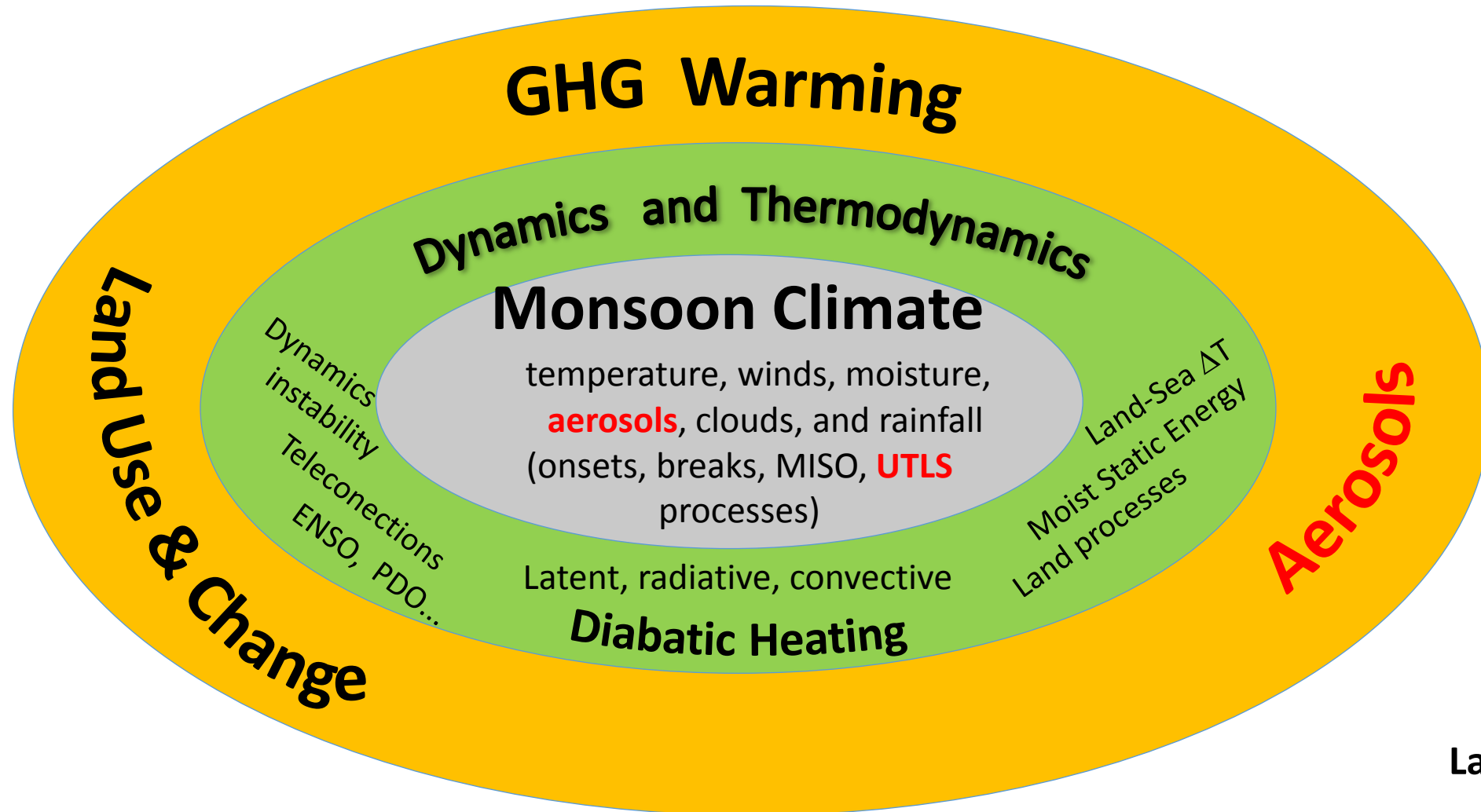






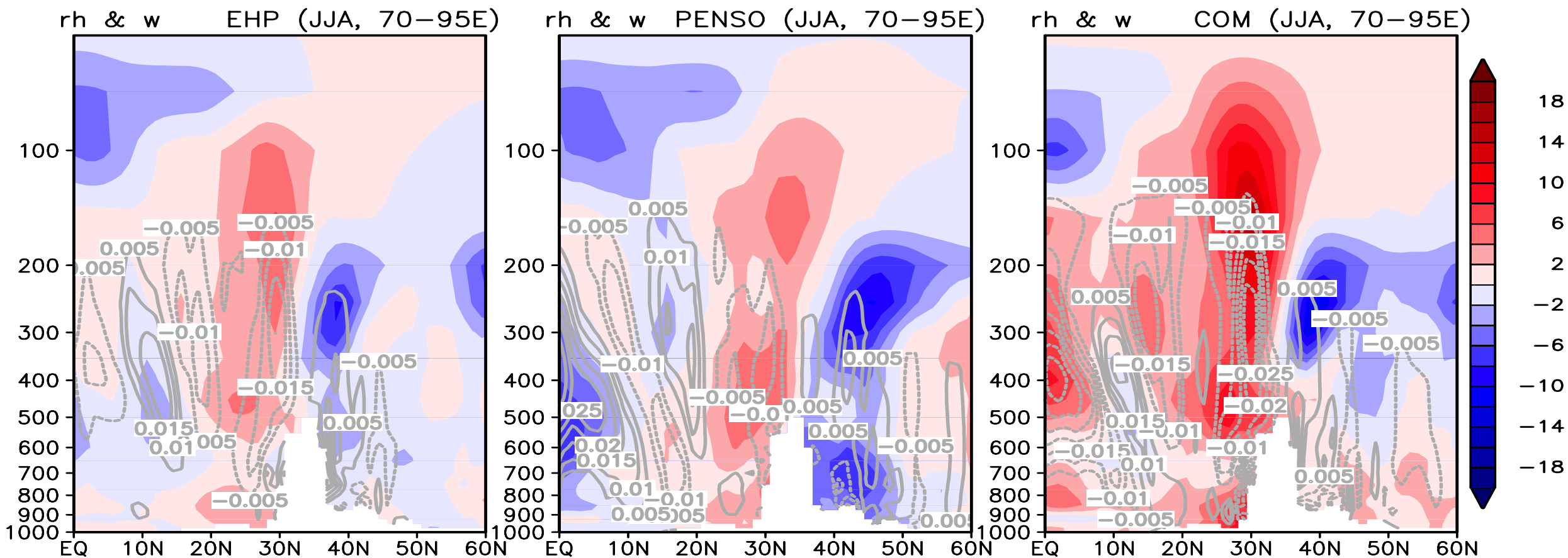


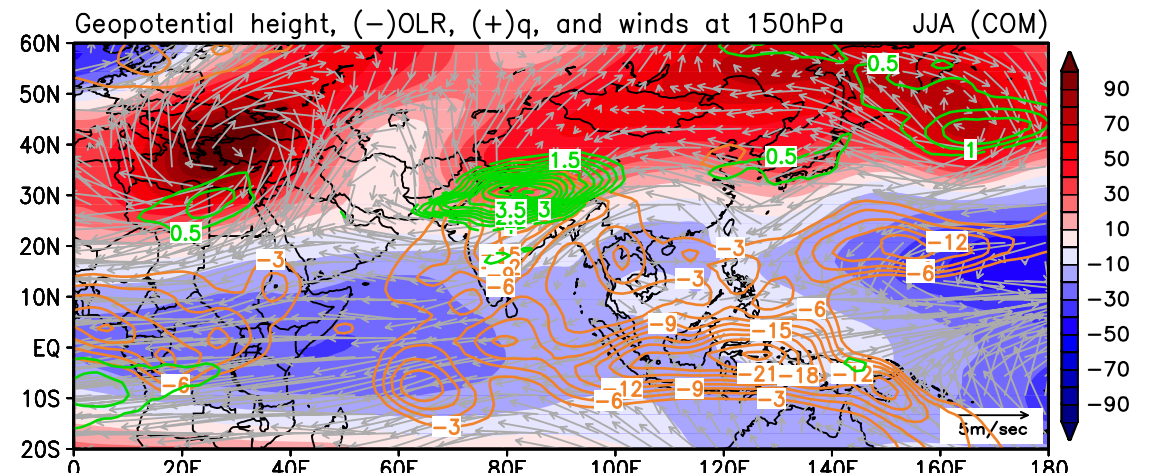
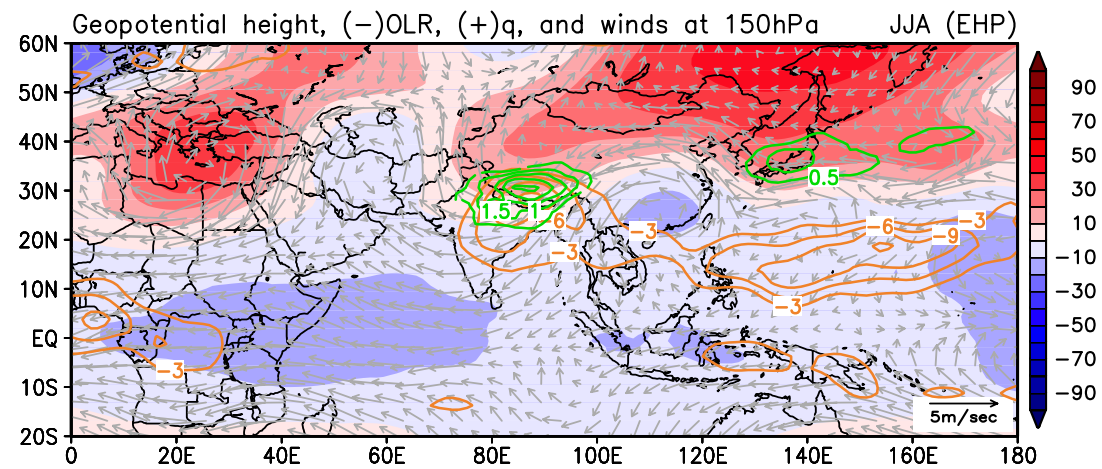
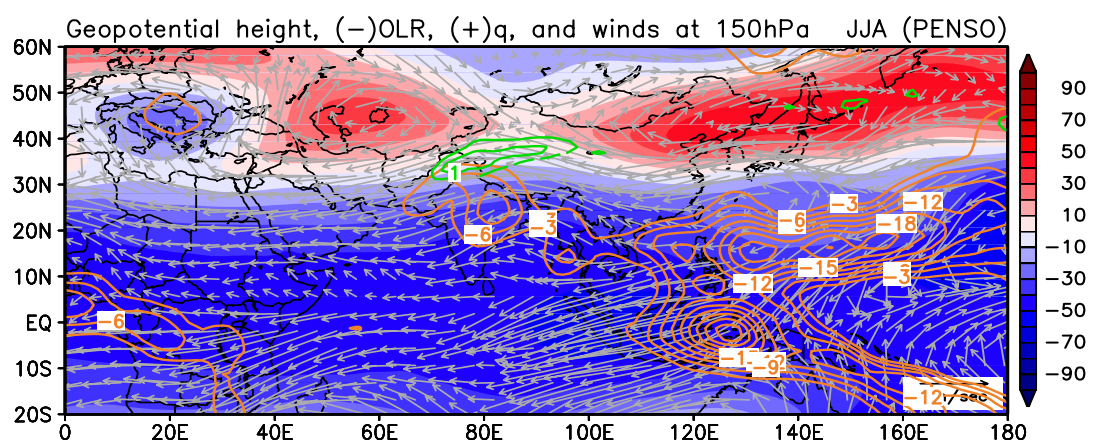
# An Aerosol-Monsoon Climate System - A New Paradigm



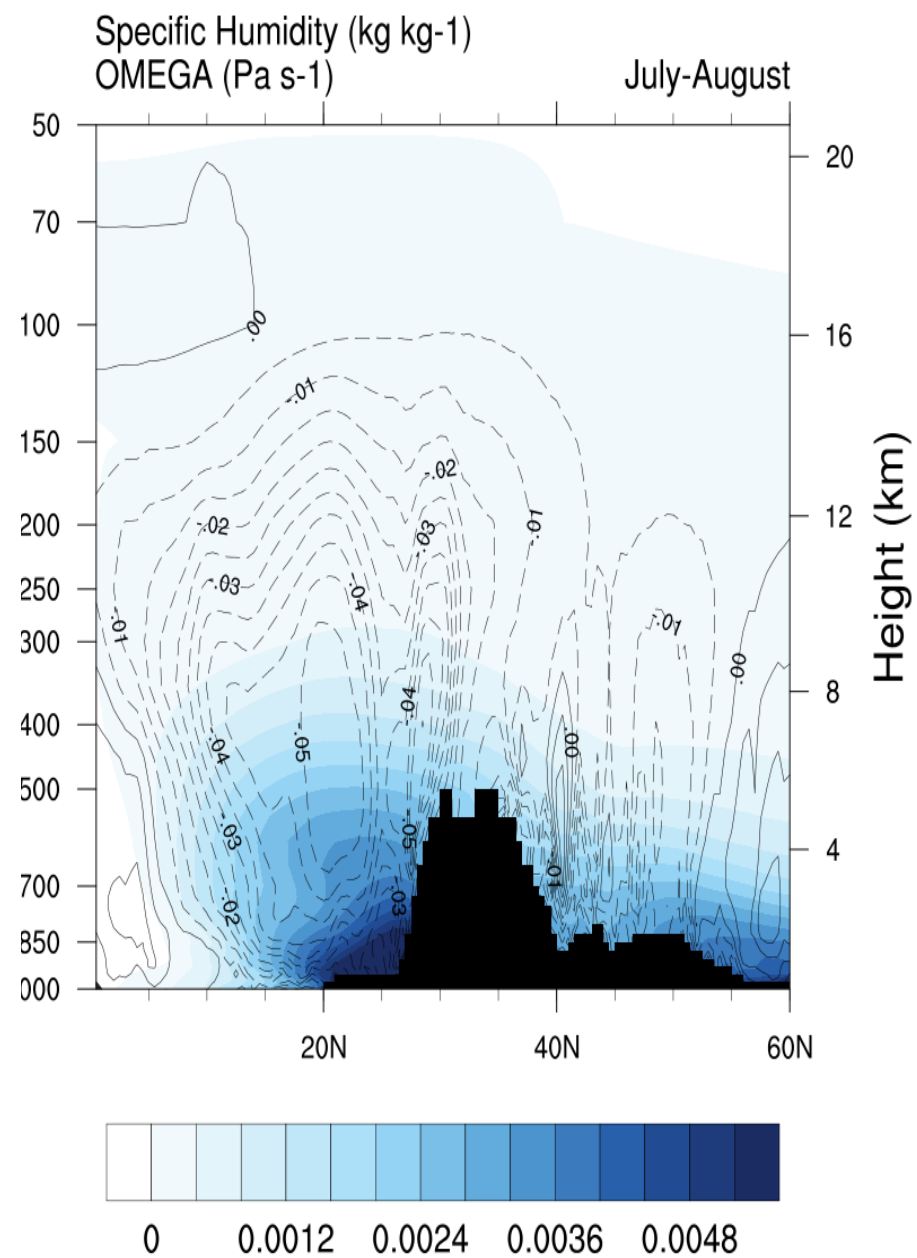
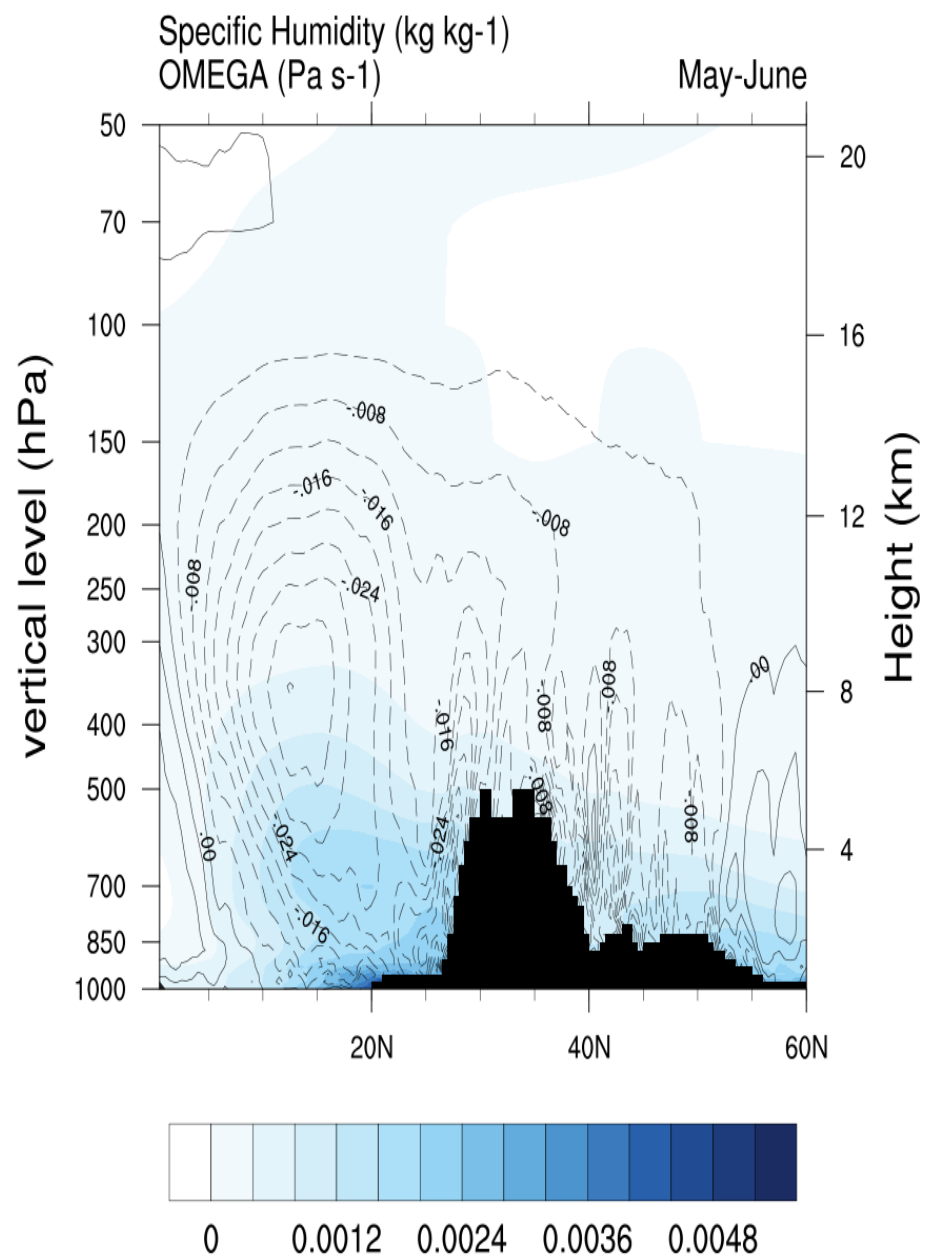












# Ongoing and future work

UTLS transport and loading of water vapor, cloud ice, CO, HCl, O<sub>3</sub>... on multiple time scales of monsoon variability, using MERRA2 and satellite observations (Aura/MLS, OMI..), and model simulations:

- Seasonal
- Monsoon Intraseasonal Oscillations (MISO)
- Case studies of extreme precipitation events or NIHF, vertical transports by deep penetrative forced and aerosol interactions
- Interannual (ENSO)
- Inter-decadal (PDO, AMO..)
- Anthropogenic Climate Change (GHG, Aerosols, Land use and change)

# Conclusions

Dust and BC aerosols alter the intraseasonal variability of the Indian monsoon rainfall system, i.e., shifting heavy rain from the IGP to the Himalaya foothills, by **transforming a monsoon depression over the eastern IGP into orographic deep convective rain cells over the Himalayan foothills** via the intraseasonal dynamical feedback mechanism (iEHP) :

- Heating by dust aerosols over the Arabian Sea (semi-direct effect) suppresses local convection, but enhances southwesterly moisture transport over northern Arabian Sea, and northern IGP;
- Dust in combination with BC reduces rainfall (semi-direct effect), suppresses monsoon depression over western IGP, decreases monsoon easterlies over foothills, and reduces monsoon influx from the Bay of Bengal, further suppress monsoon cyclone development
- Additional moisture from non-precipitation over the Arabian Sea/eastern and central India is transported downstream by increased southwesterlies, triggering orographic rainfall over northern, and northeast foothills regions
- Indirect effects enhanced iEHP, by further delaying warm rain process in the IGP, invigorate orographic meso-scale deep convective over the Himalayas foothills, with enhanced ice –phase rainfall processes (increased snow and graupel).

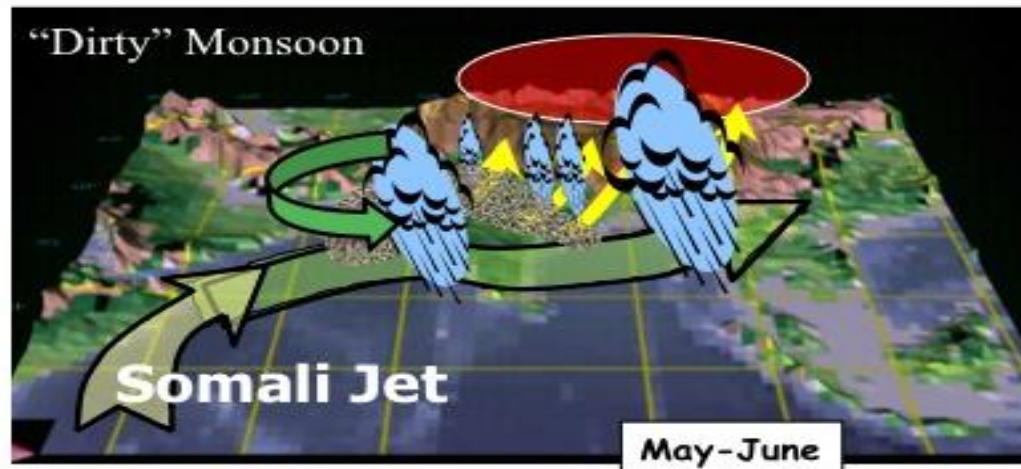
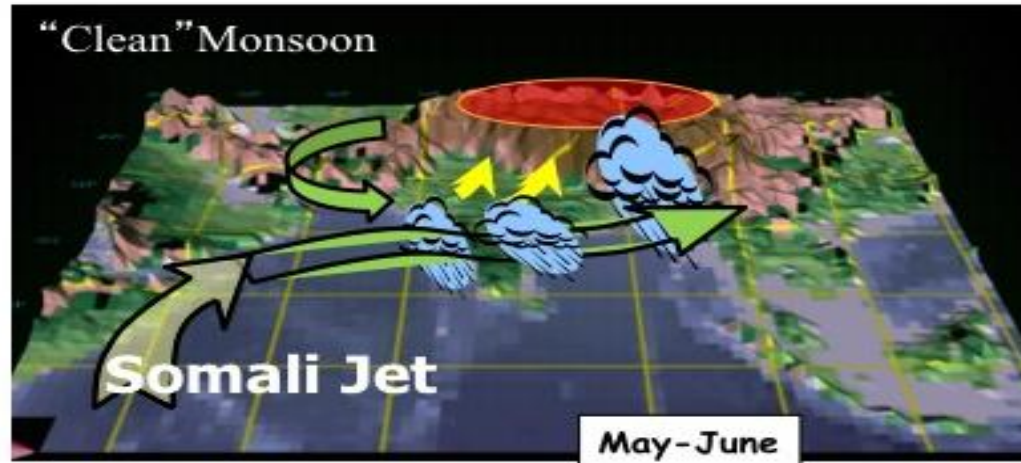
iEHP + aerosol microphysics effect → enhanced heavy rain, floods, and land slides conditions over the Himalayas foothills ??

Possible influence of aerosol impact through EHP on UTLS transport?



# Increased aerosol loading over the Indo-Gangetic Plain may have caused more frequent occurrence of extreme rainfall over Himalaya/Nepal foothills in recent years (Lau 2016)

## The Elevated Heat Pump (EHP) Hypothesis



Lau et al., 2006, 2008



**Uttarakhand's Furious Himalayan Flood Could Bury India's Hydropower Program, WEDNESDAY, 02 APRIL 2014 06:00**

The **early arrival of the annual monsoon** that accelerated snow melting, produced higher than normal rainfall, and then unleashed a cloudburst that dumped at least 300 millimeters (12 inches) of rain on June 16 , 2014 on the Himalayan ridges that fed the Alaknanda and Bhagirathi river basins.



Fan J, et al., 2015. "Substantial Contribution of Anthropogenic Air Pollution to Catastrophic Floods in Southwest China." *Geophys. Res. Lett.*

## EHP operates in other mountainous terrains in Asian monsoon regions

### Air Pollution May Have Caused Catastrophic Flooding in Southwest China, 2013

