Modeling Aerosol Processes (all you need to know in 60 minutes or less)



JEROME FAST



Model Evaluation and Computational Expense

Lectures 1 & 2

U.S. DEPARTMENT OF BATTELLE

Fundamentals of Atmospheric Chemistry and Aerosol Modeling



Emission of Primary Particles and Gas-Phase Precursors

Natural Emissions



Sea Salt

NaCl

Sea Spray

DMS and organics

Dust

inorganic minerals

Volcanic

- fly ash
- SO₂ & other gases

Biogenic / Biological

- isoprene, monoterpenes, etc.
- spores, pollen, insect parts, etc.

Biomass Burning

- soot & primary organic aerosol (POA)
- VOCs & other gases



depends on vegetation type and type of fire (flaming vs smoldering)



A Word About Dust Speciation ...

To Speciate or Not to Speciate ... That is the Question

- If one is interested only in the bulk effects of dust on concentration and radiative effects, then speciating dust is probably not important ...
- But, speciation into different minerals (illite, kaolinite, quartz, calcite, etc.) is important for:
 - Heterogeneous chemistry (e.g. CaCO₃ and HNO₃)
 - Complexity in treating ice nuclei (IN)
 - Biogeochemical cycling of trace nutrients in climate models (ignored by air quality models)



iron deposition

Iron Content in Soil by Region





from Journet et al. ACP, 2014



Anthropogenic Emissions: By Sector





Representing Emissions in Models





Emissions: Example

WRF-Chem Simulation

- > 2011 National Emissions Inventory ($\Delta x = 4 \text{ km}$)
- Fire INventory from NCAR (FINN)
- MEGAN biogenic emissions module





Anthropogenic:









New Particle Formation

Nucleation: New Particle Formation



9



NPF tends to occur under "clean conditions" when concentrations of accumulation mode particles is relatively low, so that they do not compete for trace gases (sulfuric acid and organic compounds)

Nucleation: Observations





- NPF events occurred frequently in the spring IOP, but were less frequent during the late summer IOP
- Few studies have characterized the observed spatial extent of NPF events



Determine how many particles are formed based on ambient conditions, i.e. a formation rate J (# s⁻¹) or a growth rate (nm s⁻¹). Formation pathways likely different in the troposphere and stratosphere.

Empirical formulas based on theory and fits to observations:

- Binary homogeneous mechanism H₂SO₄ & H₂O
- Ternary nucleation mechanism H₂SO₄, H₂O, and NH₃
- Ion-induced mechanism
- Combined organic and H₂SO₄ empirical mechanism

Model errors can easily be an order of magnitude or more, but ...





Aerosol Growth and Aging

Coagulation



Coagulation occurs when two particles collide and combine to form a larger particle.

Processes involved include:

- Brownian motion: Random collisions of particles that stick together
- Gravitational Settling: Particles that fall due to gravitational settling and then collide and stick to other particles. Settling velocities depend on particle size.
- Turbulent Shear: Motions induced by spatial turbulent fluctuations results in collisions of particle that stick together



All enhanced by van der Waals forces (electrostatic attractive forces)

14

Condensation and Evaporation

Condensations occurs when organic and inorganic trace gases condense on preexisting particles, forming secondary aerosol. Secondary aerosols include:

- Sulfate, SO₄: oxidation of SO₂ by OH, aqueous chemistry
- Nitrate, NO₃: oxidation of NO₂ by OH, aqueous chemistry
- Ammonium, NH_4 : NH_3 + HNO_3 forms NH_4NO_3 ; NH_3 + H_2SO_4 forms $(NH_4)_2SO_4$
- Secondary Organic Aerosols (SOA): many chemical pathways, many unknown





Observed Secondary Species

Aerosol Mass Spectrometers (AMS) are frequently used to characterize bulk composition for particle diameters < 1 μ m:



sulfate and organics dominate accumulation mode aerosol mass

Note: results depend on sampling period and season and most sampling sites located in or near urban areas

from Jimenez et al. Science, 2009; Zhang et al. GRL, 2007

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- Secondary Organic Aerosols (SOA): many chemical pathways, many unknown
- Water, H₂O: depends on hygroscopic properties of pre-existing particle and RH



Hygroscopic Properties

Water uptake depends on aerosol composition (affinity to attract or repel water), aerosol size, and relative humidity (RH)

- SO₄, NO₃, NH₄, and NaCl are hydrophilic, while OM and dust tend to be hydrophobic
- Petters and Kriedenweis, (2007) developed a single parameter, κ, to quantify hygroscopic growth potential; 0.1 < κ < 0.9</p>
- Hysteresis effect important in determining aerosol water when ambient RH changes
- Water uptake important for aqueous phase chemistry. It also significantly affects aerosol optical properties (more scattering) and contribute a large fraction of observed AOD.



Smoky Mountains

 H_2O

H₂O

 H_2O

OA Properties in a Nutshell (1)



- Phase and volatility affect condensation and evaporation
- Organic matter (OM) used to be thought of as a liquid and simulated by using an equilibrium approach. Over the past decade, new observational techniques show that OM can be liquid, semi-solid, or solid depending on the specific organic compounds and environmental conditions (temperature and RH).



from Virtanen et al., 2010

from Renbraum-Wolff et al. PNAS, 2013

OA Properties in a Nutshell (2)

Phase and volatility affects rate of chemical reactions and composition. Viscosity affects how deeply material penetrates or whether that material can escape from a particle.



from Renbraum-Wolff et al. PNAS, 2013

from Ehn et al. Nature, 2014

SOA chemistry and properties are complex; therefore, the number of precursors and types of OM makes the understanding and modeling SOA challenging.



Modeling Gas-to-Particle Partitioning (1)





Model complexity varies greatly due to # of species and reactions treated, and assumptions

- Equilibrium: Assumes that volatile species in the gas and particle phase are in equilibrium.
- Dynamic: Observational and theoretical studies demonstrate that equilibrium assumption is not always valid for typical atmospheric conditions.

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Hybrid: Combination of equilibrium & dynamic
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E1 H_2SO_4(aq) = H^+(aq) + HSO_4^-(aq)
E2 HSO_{4}^{-}(aq) = H^{+}(aq) + SO_{4}^{2}^{-}(aq)
E3 NH_3(g) = NH_3(aq)
E4a NH_3(aq) + H_2O(l) = NH_4^+(aq) + OH^-(aq)
E4b NH_3(g) + H^+(aq) = NH_4^+(aq)
E5 HCl(g) = H^{+}(aq) + Cl^{-}(aq)
E6 HNO_3(g) = H^+(aq) + NO_3^-(aq)
E7 H_2O(l) = H^+(aq) + OH^-(aq)
E8 Na<sub>2</sub>SO<sub>4</sub>(s) = 2Na<sup>+</sup>(aq) + SO<sub>4</sub><sup>2-</sup>(aq)
E9 NH_4Cl(s) = NH_3(g) + HCl(g)
E10 (NH_4)_2 SO_4(s) = 2NH_4^+(aq) + SO_4^{2-}(aq)
E11 NaCl(s) = Na<sup>+</sup>(aq) + Cl<sup>-</sup>(aq)
E12 NaNO<sub>3</sub>(s) = Na<sup>+</sup>(aq) + NO<sub>3</sub><sup>-</sup>(aq)
E13 NH_4NO_3(s) = NH_3(g) + HNO_3(g)
E14 NaHSO<sub>4</sub>(s) = Na<sup>+</sup>(aq) + HSO<sub>4</sub><sup>-</sup>(aq)
E15 NH<sub>4</sub>HSO<sub>4</sub>(s) = NH<sub>4</sub><sup>+</sup>(aq) + HSO<sub>4</sub><sup>-</sup>(aq)
E16 (NH_4)_3H(SO_4)_2(s) = 3NH_4^+(aq) + HSO_4^-(aq) + SO_4^2^-(aq)
E17 NH<sub>4</sub>Cl(s) = NH<sub>4</sub><sup>+</sup>(aq) + Cl<sup>-</sup>(aq)
E18 NH_4NO_3(s) = NH_4^+(aq) + NO_3^-(aq)
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E19 NH<sub>3</sub>(g) + HNO<sub>3</sub>(g) = NH<sub>4</sub><sup>+</sup>(aq) + NO<sub>3</sub><sup>-</sup>(aq)
E20 NH_3(g) + HCl(g) = NH_4^+(aq) + Cl^-(aq)
E21 NaCl(s) + HNO<sub>3</sub>(g) = NaNO<sub>3</sub>(s) + HCl(g)
E22 Na<sub>3</sub>H(SO<sub>4</sub>)<sub>2</sub>(s) = 3Na<sup>+</sup>(aq) + HSO<sub>4</sub><sup>-</sup>(aq) + SO<sub>4</sub><sup>2-</sup>(aq)
E23 (NH_4)_2SO_4 \cdot 2NH_4NO_3(s) = 4NH_4^+(aq) + SO_4^2^-(aq)
 + 2NO_{3}^{-}(aq)
E24 (NH_4)_2 SO_4 \cdot 3NH_4 NO_3(s) = 5NH_4^+(aq) + SO_4^2^-(aq)
 + 3NO_{3}(aq)
E25 NH<sub>4</sub>HSO<sub>4</sub> · NH<sub>4</sub>NO<sub>3</sub>(s) = 2NH<sub>4</sub><sup>+</sup>(aq) + HSO<sub>4</sub><sup>-</sup>(aq)
 + NO_{3}(aq)
E26 Na<sub>2</sub>SO<sub>4</sub> · 10H<sub>2</sub>O(s) = 2Na<sup>+</sup>(aq) + SO<sub>4</sub><sup>2-</sup>(aq) + 10H<sub>2</sub>O(l)
E27 Na<sub>2</sub>SO<sub>4</sub> · NaNO<sub>3</sub> · H<sub>2</sub>O(s) = 3Na^{+}(aq) + SO_{4}^{2-}(aq)
 + NO_{3}^{-}(aq) + H_{2}O(l)
 E28 NaHSO<sub>4</sub> \cdot H<sub>2</sub>O(s) = Na<sup>+</sup>(aq) + HSO<sub>4</sub><sup>-</sup>(aq) + H<sub>2</sub>O(l)
E29 NaH<sub>3</sub>(SO<sub>4</sub>)<sub>2</sub> · H<sub>2</sub>O(s) = Na<sup>+</sup>(aq) + 2HSO<sub>4</sub><sup>-</sup>(aq)
 + H^{+}(aq) + H_{2}O(l)
E30 Na<sub>2</sub>SO<sub>4</sub>(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> \cdot 4H<sub>2</sub>(s) = 2Na<sup>+</sup>(aq) +
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Modeling Gas-to-Particle Partitioning (1)





Many thermodynamic models have been developed since the 1980's, some suitable for box models and others suitable for use in 3-D atmospheric models

SCAPE		MOSAIC
MARS	ISORROPIA AIOMFAC	E-AIM
SEQUIBLI	В	EQUISOLV

Evaluated using theory and/or laboratory data



from Shiraiwa et al. ACP, 2012

Bulk 2

Bulk 3

Bulk k

Bulk n

Modeling Gas-to-Particle Partitioning (2)

Recent laboratory and field findings leading to more complex treatments of gas-to-particle partitioning.

Kinetic Multi-Layer of Gas-Particle Interactions in Aerosols and Clouds (KM-GAP)

> treats all steps of mass transport and chemical reaction from the gas phase to the particle core, including semivolatile species

New Version of MOSAIC

Uses an analytical quasisteady-state treatment for the diffusion-reaction process within the particle phase for fast-reacting organic solutes, and a two-film theory approach for slow- and nonreacting solute. 22







Modeling Gas-to-Particle Partitioning of Organics (1)

- Most 3-D models used to rely on semi-empirical parameterizations of chamber experiments to predict SOA mass
- Progress has been made in predicting SOA mass using more complex treatments, though it is still challenging
- Next step is to simultaneously predict more detailed extensive (number-size distributions) and intensive (O:C, viscosity) properties

Note: Knowledge of organics changes rapidly. Published information can be out-ofdate in a matter of months.





Modeling Gas-to-Particle Partitioning of Organics (2)

- Versions of the Volatility Basis Set (VBS, see Robinson et al. 2007) approach now widely used to simulate SOA. Instead of explicitly simulating all of the reactions associated with the formation and evaporation of SOA (which is still incomplete), lump organics into a discrete number of bins based on their saturation concentration, C*.
- Assumes that a series of oxidation reactions, that add an amount of oxygen per generation, will effectively move more and more aerosol mass into lower volatility bins.
- Volatility distributions are defined by fitting partitioning theory to experimental data. VBS models vary significantly depending on the number of bins used, the particular datasets used to constrain the model, assumed parameters, etc.





Modeling Gas-to-Particle Partitioning of Organics (3)





Biomass Burning and SOA

Do fires produce SOA? Some observational studies show that some fires produce SOA, while others do not possibly due to:

- Differences in fuel type
- Fire conditions (flaming vs smoldering)
- Dilution
- Limitations of laboratory (wall losses) or field data (sampling strategy)

Therefore, how SOA is represented in models is a **controversial subject**.

"Different approaches to the calculation of OA enhancement in ambient plumes can lead to different conclusions regarding SOA formation" (Bian et al. ACP, 2017)

DOE BBOP Campaign, 2013



concentration increases due to SOA





and now, time for some meteorology that affects aerosol distributions and gas-toparticle partitioning ...

The Role of Turbulent Mixing



Turbulent motions near the Earth's surface vertically transport trace gases and aerosols, thereby diluting surface concentrations. In contrast, the growing daytime mixed layer will entrain tracers from the free troposphere to the surface.



- time —
- Mesoscale models rely on boundary-layer parameterizations to represent the overall effect of the subgrid-scale motions on atmospheric tracers. Model uncertainties are larger in the nocturnal stable layer and free troposphere.

The Role of Multi-scale Circulations



- Multi-scale circulations transport trace gases and aerosols over large distances
- Mesoscale models resolve many types of circulations, but global models with their coarser resolution poorly resolve or cannot resolve many circulations



regionally-refined grids will only help so much

Aerosol Layers Observed During TCAP Campaign

(a) WRF-Chem PM2.5 (µg m $^{\cdot3})$ ~ 4.5 km AGL at 17 UTC, 17 July 2012



(b) WRF-Chem PM2.5 ($\mu g \text{ m}^{-3}$) along white back trajectory in (a)



The Role of Clouds



 Updrafts and downdrafts within clouds transport aerosols vertically more rapidly than mean ambient motions



- Updrafts & downdrafts explicitly represented by "cloud-resolving" simulations, but vertical transport represented by convective parameterizations for $\Delta x \sim 10$ km
- Simulations of clouds have relatively large uncertainties that will impact simulated trace gas and aerosol distributions



and meteorology also affects removal of aerosols from the atmosphere ...

Dry Deposition



Dry deposition is a process that remove trace gases and particulates from the atmosphere and deposits them to the surface



models often parameterize turbulent diffusion pathway using an electrical analogy (Wesely et al. 1995) C3 surface layer aerodynamic resistance turbulence C₂ quasi-laminar sublayer resistance rb related to diffusion due **Brownian motion** C1 surface resistance depends on ground surface characteristics Co from Giardina and Buffa, AE, 2018

largest unknowns are removal rates of SOA precursor gases

Impact of Dry Deposition





MODIS AOD March 10, 2006





choice of parameterization in models affects estimates of aerosol lifetime

Deposition and the OA Budget

Since organic aerosols (OA) are a large fraction of the fine mode mass, it is important to understand and represent the processes affecting the global budget of OA.



Pacific

Deposition and the OA Budget



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Deposition and the OA Budget



Since organic aerosols (OA) are a large fraction of the fine mode mass, it is important to understand and represent the processes affecting the global budget of OA. New Yields from Chamber Data





Representation of Aerosol Size, Mixing State, and Morphology

Representing Aerosol Size Distribution



There are 4 basic approaches used to represent the aerosol number and mass size distributions in models



moments:

- 1) mass,
- 2) mass & number,
- 3) mass, number, & surface area



Size is important since it affects 1) radiation via aerosol optical properties and 2) clouds via cloud condensation nuclei (CCN). Also important in terms of human health, since smaller particles have a higher probability of penetrating deeper into the lungs.

Size Distribution: Bulk



- Only total mass of aerosol compounds are represented
- No information on particle # or size
- Assume size distribution for radiative transfer and response of cloud properties to aerosol number
- Numerically efficient

Example: GOCART (Goddard Chemistry Aerosol Radiation & Transport model, Chin et al. JGR 2000)



Size Distribution: Modal



- Represent the size by a discrete number of log-normal distributions
- Modes smoother than observed distributions
- Numerical efficient suitable for AQ and climate models



Size Distribution: Sectional



- Represents the size distribution by a discrete number of size bins
- More flexible than modal approach better represents size
- Need a large number of bins to represent observed distributions
- Numerical more expensive suitable for AQ models and research studies



Size Distribution: Explicit



- Represents the size distribution by explicitly simulating each particle in a population of particles. Need to simulate thousands of particles.
- More flexible than both modal and sectional approaches
- Numerical most expensive suitable for research studies



Mixing State: Definition and Importance







- In the real world, particles are mostly externally mixed after emission or nucleation. Then, they undergo coagulation and chemical aging so that population becomes more internal mixed over time.
- Except for explicit aerosol models, assumptions are made to treat the aerosol mixing state
- Assumptions in the aerosol mixing state can significantly affect estimates of absorption and and CCN concentrations (e.g. Ching et al. ACP, 2017)

Model Representations of Mixing State





- Constant composition fraction in each mode, but can vary by mode.
- Each mode is internally mixed
- Hydrophobic mode of BC that can transition into hydrophilic mode of BC over time to account for aging
- Constant composition fraction in each bin, but can vary by bin.
- More detailed representation permits fractional composition to vary by size over smaller changes in particle diameter

Observations of Mixing State





Shows that composition size distribution is not the same for various species

Shows that composition is very complex. Except for explicit treatments, current models cannot represent this level of complexity. | 45

Quantification of Mixing State



- New metrics being developed to quantify the degree of internal mixing
- Riemer and West, ACP (2013) proposed: $\chi = \frac{D_{\alpha} 1}{D_{\gamma} 1} = 0$ for external





mixing state information becomes unimportant for more internallymixed populations

Aerosol Shape: The Next Frontier





- Models assume particulates are spheres. Of course, many times they are not.
- Shape can also change in time. Particles become coated by secondary processes that make them more sphere-like. Soot particles are initially complex fractal-like structures that collapse over time.
- Shape not accounted for by models, even explicit treatments
- When does shape matter?
 - May affect gas-to-particle partitioning not strictly a shell-core
 - Affects optical properties, but these affects may cancel each other
 - Irregularities provide contact surfaces where IN preferentially forms



Model Evaluation and Computational Expense

Routine Observations



Routine measurements are available from EPA and NASA to quantify model performance, but they are limited to **bulk quantities** at the surface or column integrated quantities (e.g. AOD and other optical properties)



Field Campaign Observations



Field campaign research measurements, available from several agencies, can be used to quantify model performance. They have **more detailed information on aerosol properties** than available from routine monitoring.





- Number (multiple types)
- Size distribution (multiple types)
- Composition (AMS, single particle, SP2)
- Morphology and composition (SEM)
- Optical properties (multiple types)
- Trace gases: standard suite, PTR-MS, CIMS, etc. for aerosol precursors

Measured and Modeling Quantities are Not Necessarily the Same Thing



Sometimes, evaluating aerosol models with data is like **comparing apples with oranges**. One example is associated with AMS measurements:



need to obtain a similar size range from the model to compare with the measurements



- Measures PM1 (0.04 < D_p < 1 μ m), but ...
- Depends on "collection efficiency"
- Needs to check consistency with other size data

Measurement Uncertainties



Another important consideration often ignored by modelers is **measurement uncertainty**, especially for state-of-the-science instrumentation.



need to include data uncertainty wherever possible, but that can often be problematic

uncertainty propagates to derived quantities

- Detection limit of 2.9 nm m⁻³, but ...
- Uncertainty difficult to quantify because it depends on aerosol properties (Takegawa et al. AST, 2005). User estimates vary widely.



User Considerations for Modeling Aerosols



- What is the problem being addressed by the aerosol model?
- What level of complexity in size distribution is needed?
- How many aerosol (and trace gases) species are needed?
- What is the domain extent, spatial resolution, and the time period needed to represent the processes of interest?
- Do I need to include aerosol-radiation-cloud-precipitation feedbacks?
- How much disk space can I afford?
- How long am I willing to wait for one simulation to finish? Am I a patient person?



Inevitably, there is always a trade off between spatial resolution, simulation length, level of model complexity, and your sanity.

It's All About the Cost of Advection: Mechanisms in WRF-Chem



simple

handling cloud-borne aerosol

MOZART (82) + GOCART (15) = 97 species

BC(2), OC(2), dust(5), sea-salt(4), other(2)

RADM2 (42) + MADE/SORGAM (38) = 80 species + 38

BC(2), SO₄(2), NH₄(2), NO₃(2), Na(2), Cl(2), sea-salt(1), OM(18), dust(2) other(2), number(3)

RACM (65) + VBS SOA (38) = 134 species + 40

BC(2), SO₄(2), NH₄(2), NO₃(2), Na(2), Cl(2), sea-salt(1), OM(18), dust(2) other(2), number(3)

- MOZART (136) + MOSAIC 4 size bins (64) = 200 species + 56
 BC(4), SO₄(4), NH₄(4), NO₃(4), Na(4), Cl(4), OM(20), Ca(4), dust(4), water(8), number (4)
- SAPRC (97) + MOSAIC 4 size bins (112) = 209 species + 108 PC(4) = SO(4) NH(4) NO(4) Ne(4) CI(4) OM(68) Ce(4) dust(4) water(8) number
 - BC(4), SO₄(4), NH₄(4), NO₃(4), Na(4), Cl(4), OM(68), Ca(4), dust(4), water(8), number (4)
 - SAPRC (97) + MOSAIC 8 size bins (216) = 313 species + 200 BC(8), SO₄(8), NH₄(8), NO₃(8), Na(8), Cl(8), OM(136), dust(8), water(16), number(8)

complex



Given the complexity of aerosol processes and our ability to represent those processes in models, it is amazing how well predicted aerosol properties are (sometimes)



- Of course, a good simulation does not mean that the model is a true representation of reality. It is possible to "get the right answer for the wrong reasons".
 - Should view a good model simulation with skepticism
 - Check all components of the modeling system, e.g. meteorology
- On the other hand, aerosol predictions often have large errors so that one should expect that errors in aerosol predictions are relatively higher than those associated with meteorology and trace gases

Pandora's Box / Aerosol Modeling



uncertaínties in emissions



Research Opportunities at PNNL



- ▶ jobs.pnnl.gov
- www.pnnl.gov/atmospheric/jobs.asp
- Chief Scientist for Global Atmospheric Modeling
- Atmospheric Data Analyst
- Earth Scientist, Cloud-Aerosol Interactions
- Postdocs
- etc.
- Contact our recruiter: Gary Worrell (509) 372-4721

